

# *Aspects of Practical Quantum Key Distribution Schemes*

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*6<sup>th</sup> Int. Conference on Cryptology & Network Security  
Singapore, 8.-10. December 2007*

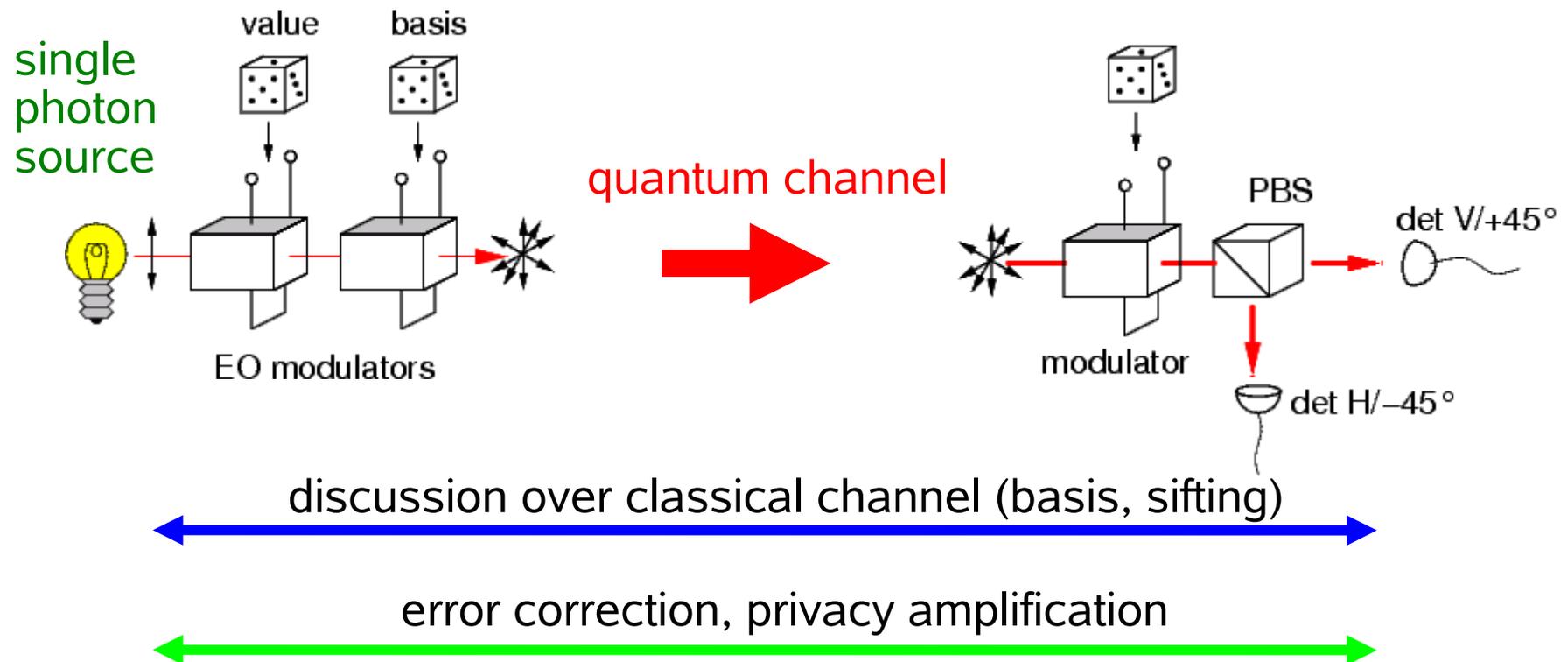
*financial support by DSTA, A\*STAR and Ministry of Education*

# Overview

- BB84 type prepare & send implementations of QKD
- Free space and optical fiber quantum channels
- Experimental implementation of an entanglement-based QKD scheme
- A possible attack strategy
- Free space QKD during daylight?
- A side channel-tolerant protocol: E91 revisited

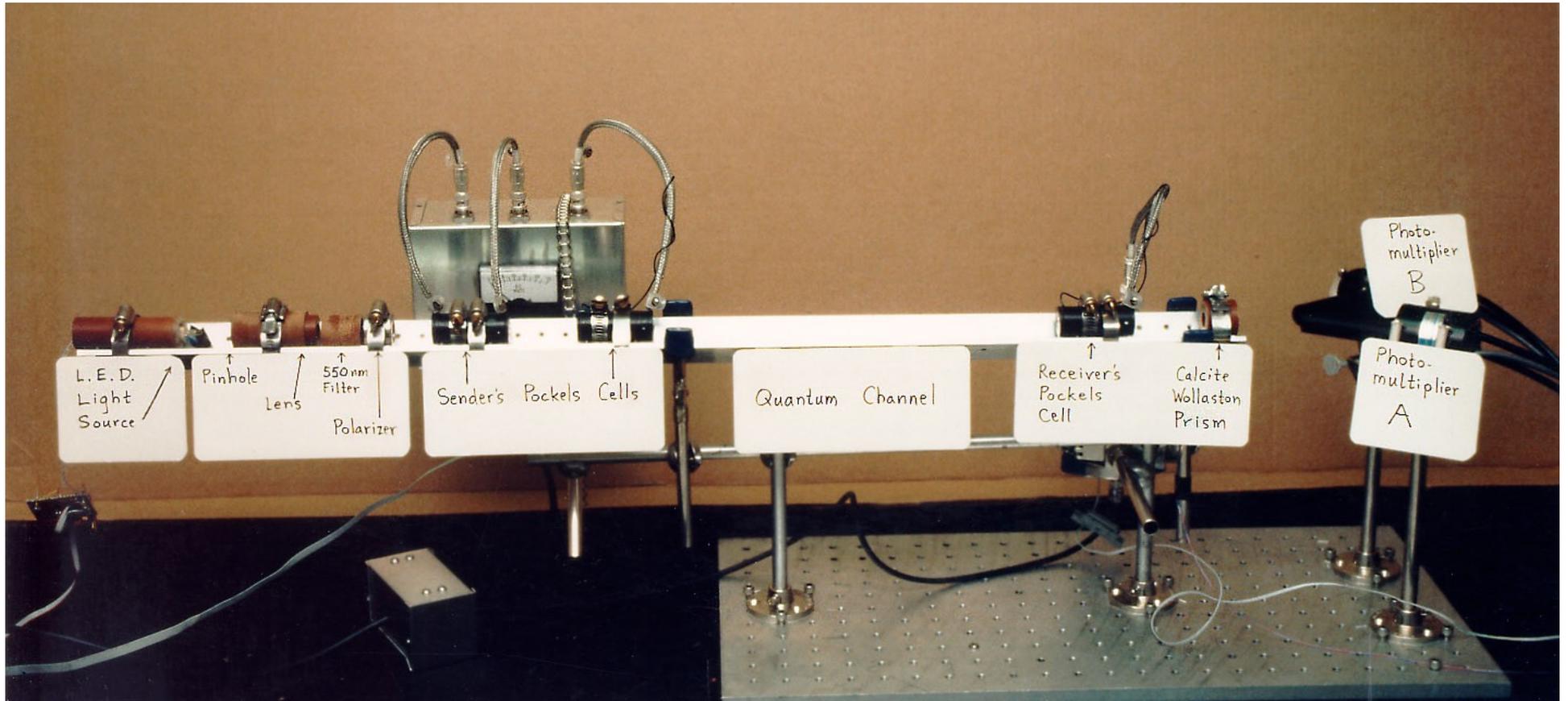
# Different protocols I

Prepare & measure protocols (BB84 & friends/derivatives):



- needs lots of trusted random numbers
- knowledge of Hilbert space / good single photon source
- uses error fraction to estimate eavesdropper's knowledge

# *BB84 original implementation*



*C. Bennett, F. Bessette, G. Brassard, L. Savail, J. Smolin  
J. Cryptology 5, 3 (1992)*

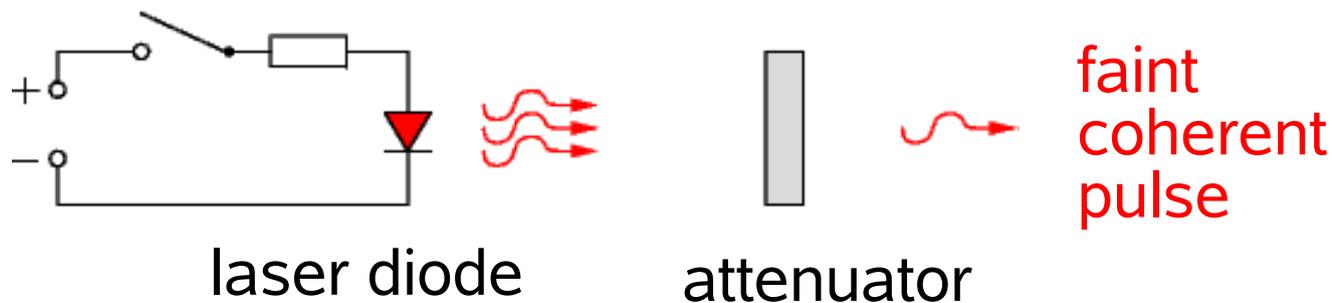
# BB84 Implementation Hack #1

- use **faint coherent pulses** instead of single photons - with Poisson statistics of photon numbers

$$p(n) = \frac{\lambda^n}{n!} e^{-\lambda} \quad \text{for} \quad \langle n \rangle = 0.1$$

$p(0) = 90.48\%$   
 $p(1) = 9.05\%$   
 $p(n > 1) = 0.47\%$

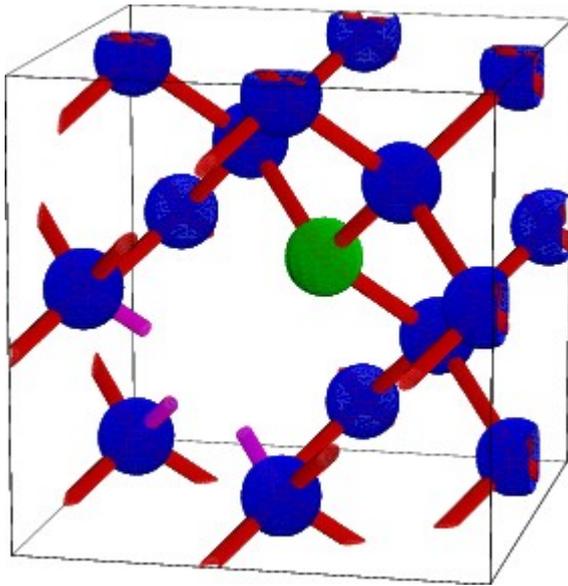
- much simpler to prepare than true single photons:



- potentially insecure: photon number splitting attack
- lower repetition rate

# BB84 Hack #1 workarounds

- **don't use** faint coherent pulses instead of single photons



Physical single photon sources:

- NV centers in diamond

*A. Beveratos et al.,  
Phys. Rev. Lett. **89** 187901 (2002)*

- quantum dots...
- dye molecules...

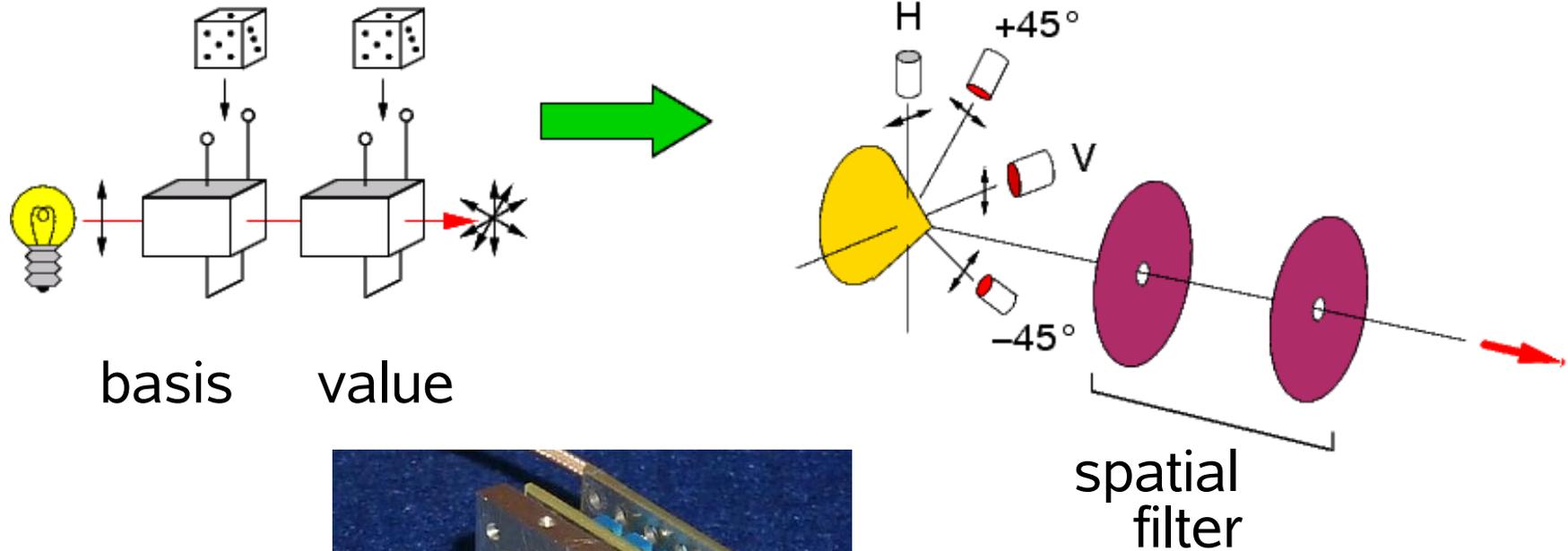
- use decoy states (faint coherent pulses with randomized  $\langle n \rangle$ ) to discover photon number splitting attacks

*H.-K. Lo, X. Ma, K. Chen, Phys. Rev. Lett. **94** 230504 (2004)*

*T. Schmitt-Manderbach et al., Phys. Rev. Lett. **98**, 010504 (2007)*

# Preparation of polarized photons

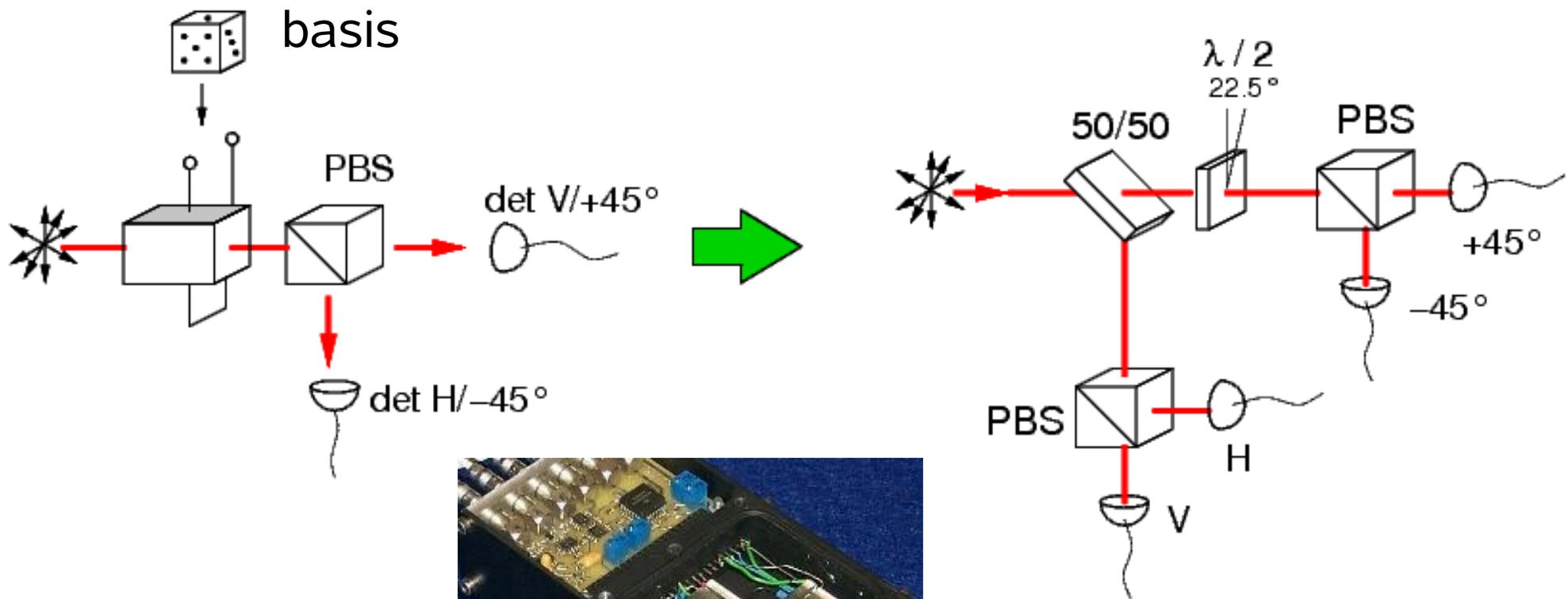
- Make use of good intrinsic polarization of laser diodes



# Polarization measurement

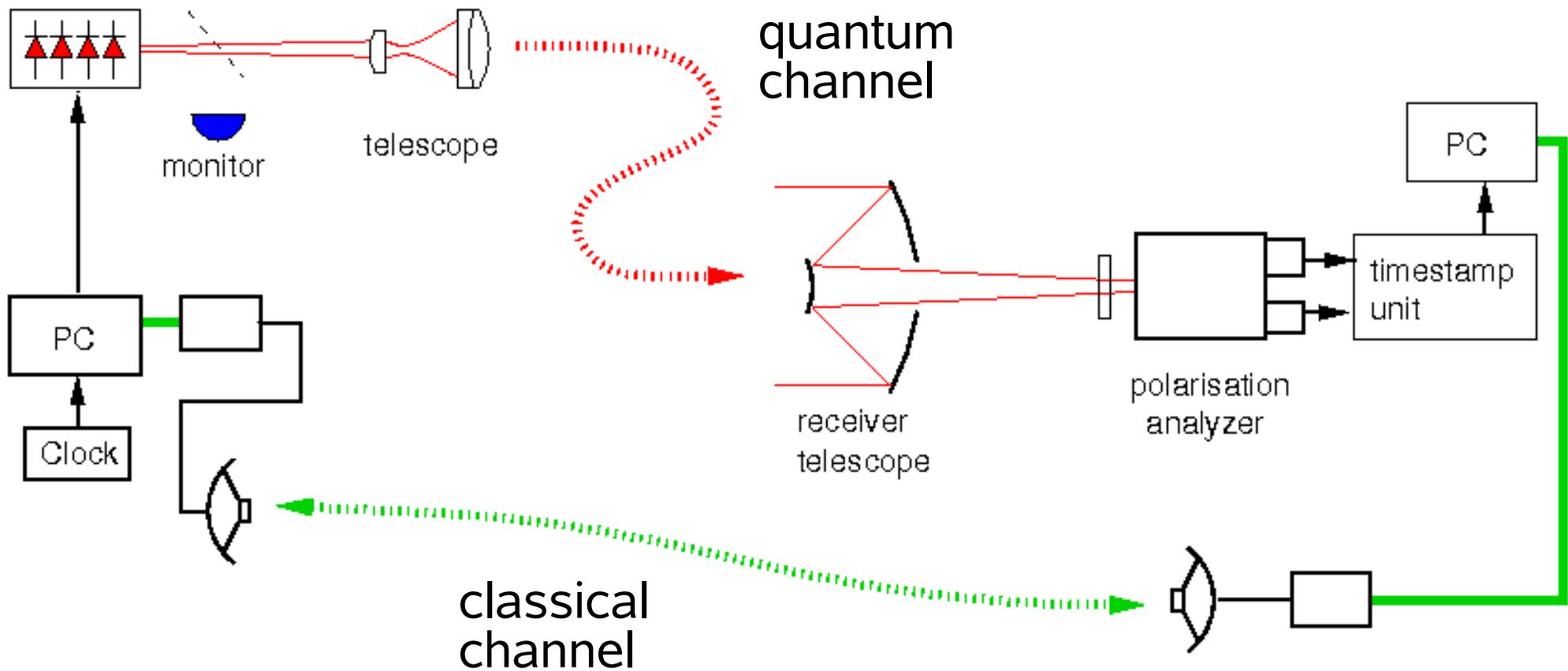
- Replace active basis choice by passive choice in a beam splitter

*J.G. Rarity, P.C.M. Owens, P.R. Tapster,  
J. Mod. Opt. 41, 2345 (1994)*

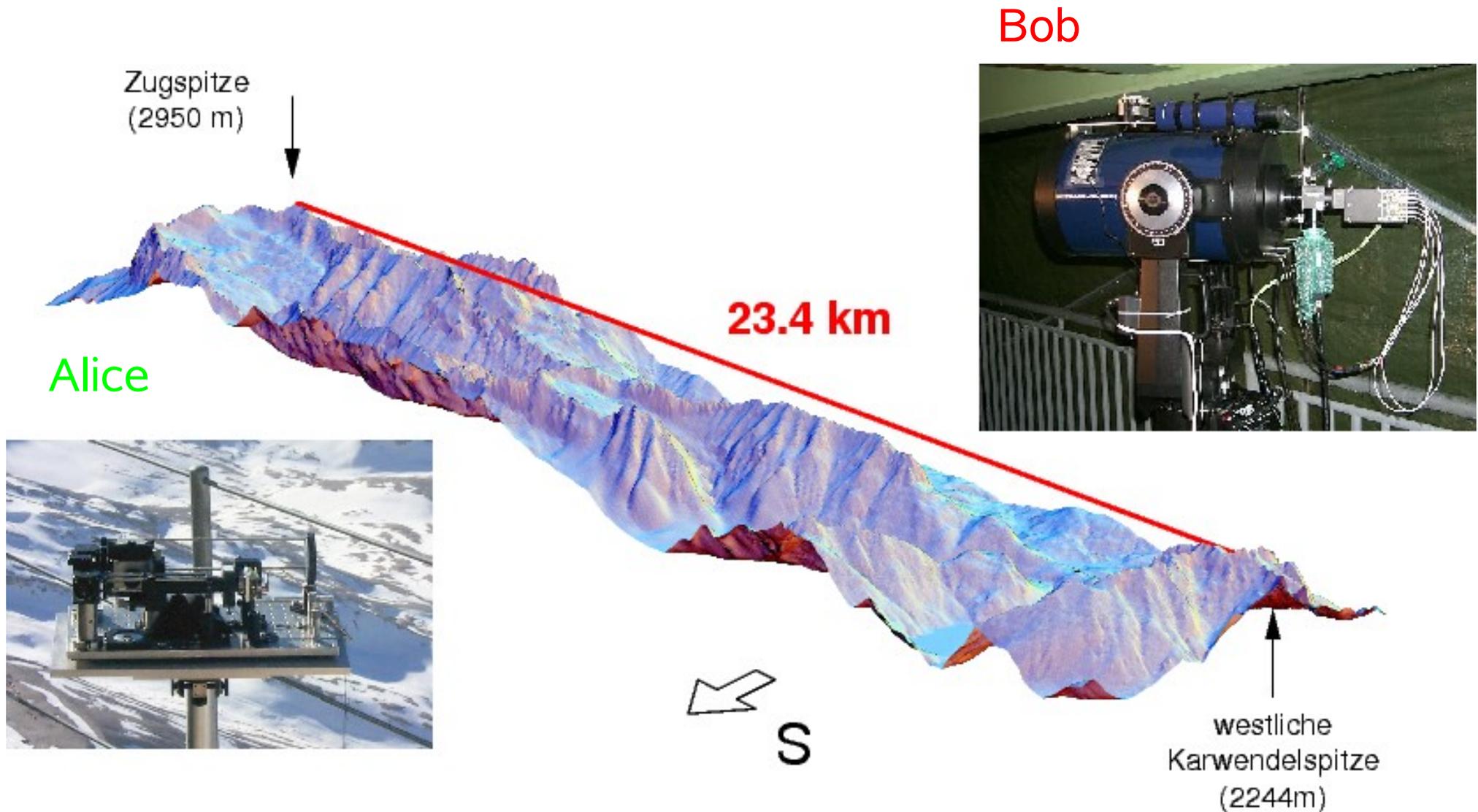


# Transport of photons

- Transmission through free space



# Bridging distances



# *Current developments*

- Larger distances (up to 144km demonstrated) to test for satellite – earth links

*Munich/Vienna/Bristol:*

*T. Schmitt-Manderbach et al., Phys. Rev. Lett. **98**, 010504 (2007)*

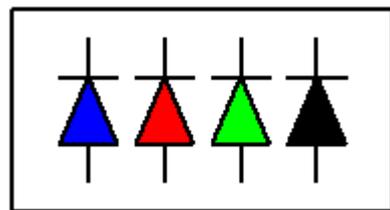
- Larger key rates: VCSEL lasers, detectors with better timing resolution, high clock rates

*NIST Gaithersburg:*

*J.C. Bienfang et al. Optics Express **12**, 2011 (2004)*

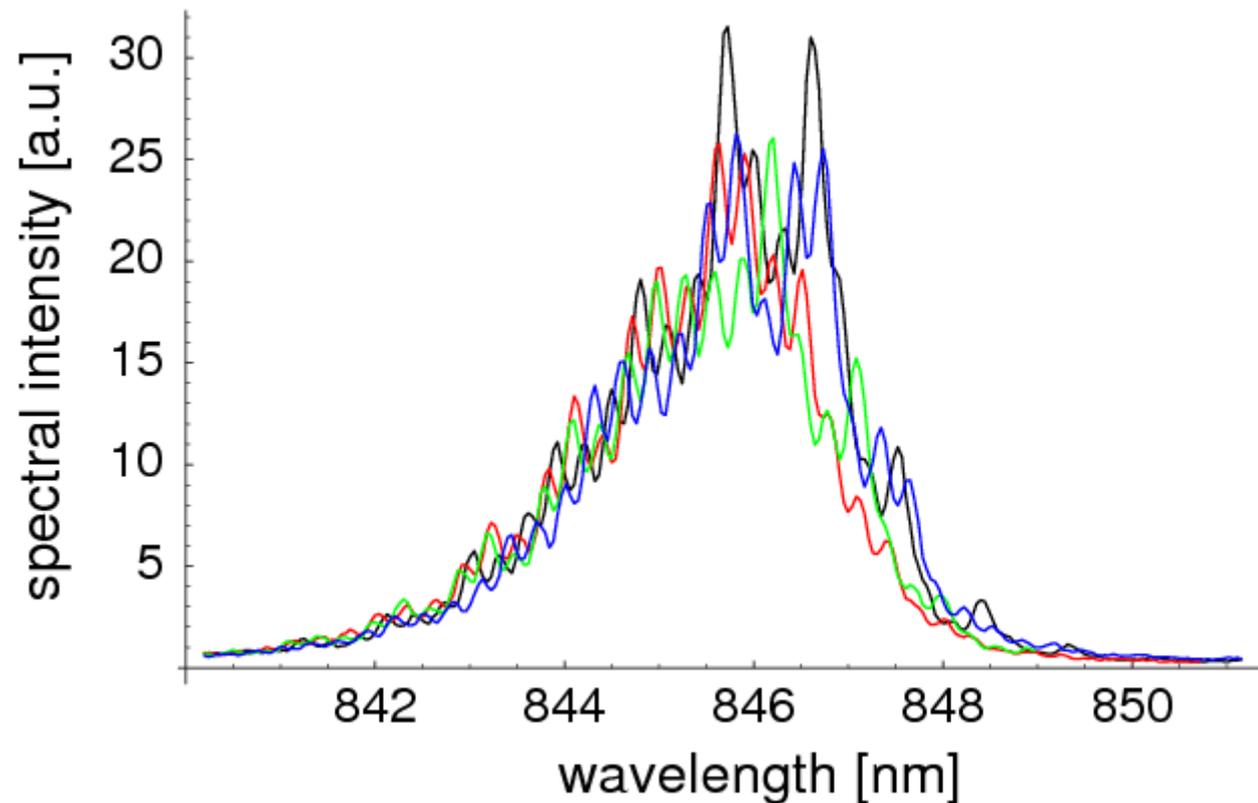
# BB84: Spectral attack

Different “letters” may be distinguishable  
Here: By spectral signature from four different laser diodes



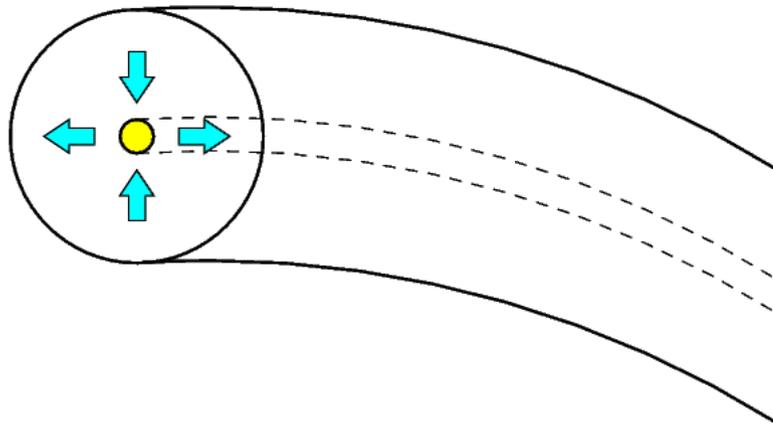
H V - +

asymptotic  
average  
information  
leakage: <2%



# Transport through fibers

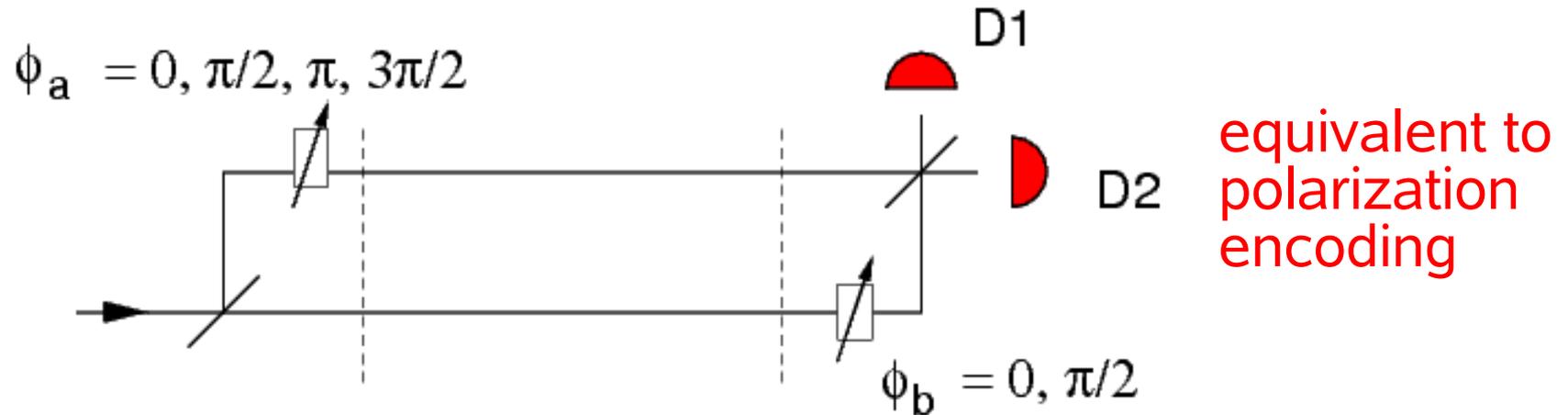
- Very practical: Less susceptible to environment
- Use existing telecom infrastructure
- High optical transmission
  - 800 nm: 2dB/km (T=63% for 1 km) **Si detectors**
  - 1310nm: 0.2dB/km (T=63% for 10 km)
  - 1550nm: 0.35dB/km (T=44% for 10 km) **InGaAs detectors**
- Optical birefringence / vector transport



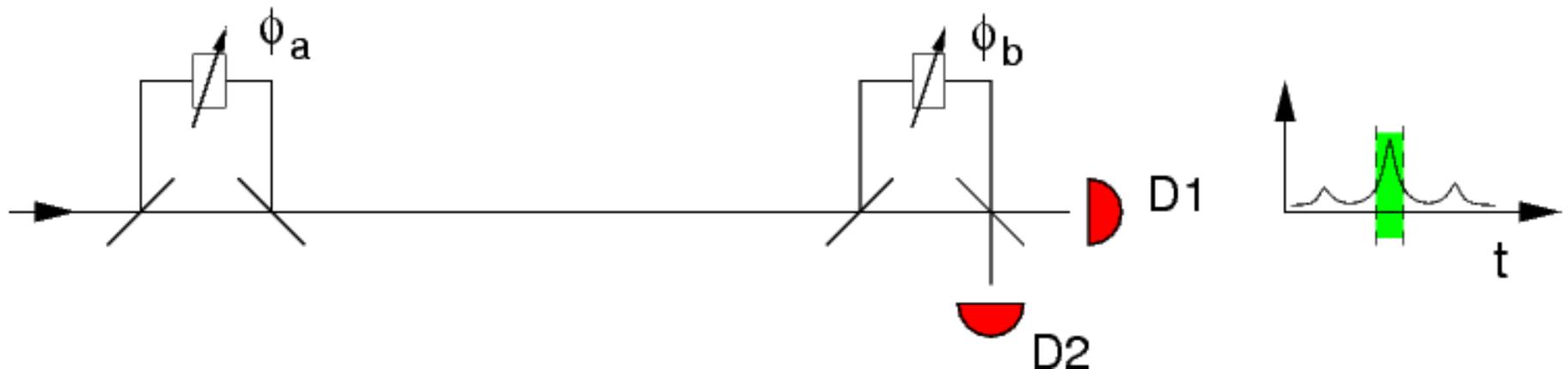
polarization encoding  
is more difficult -

# Other encoding techniques

- Encoding qubit in relative phase between two packets

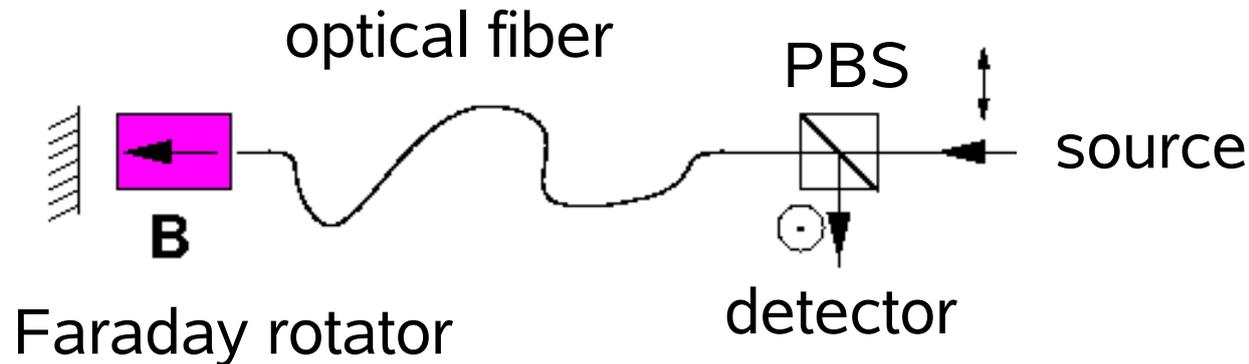


- Replace fiber pair by time structure (early / late)



# Birefringence compensation

- Probe fiber birefringence via two passes with Faraday mirror

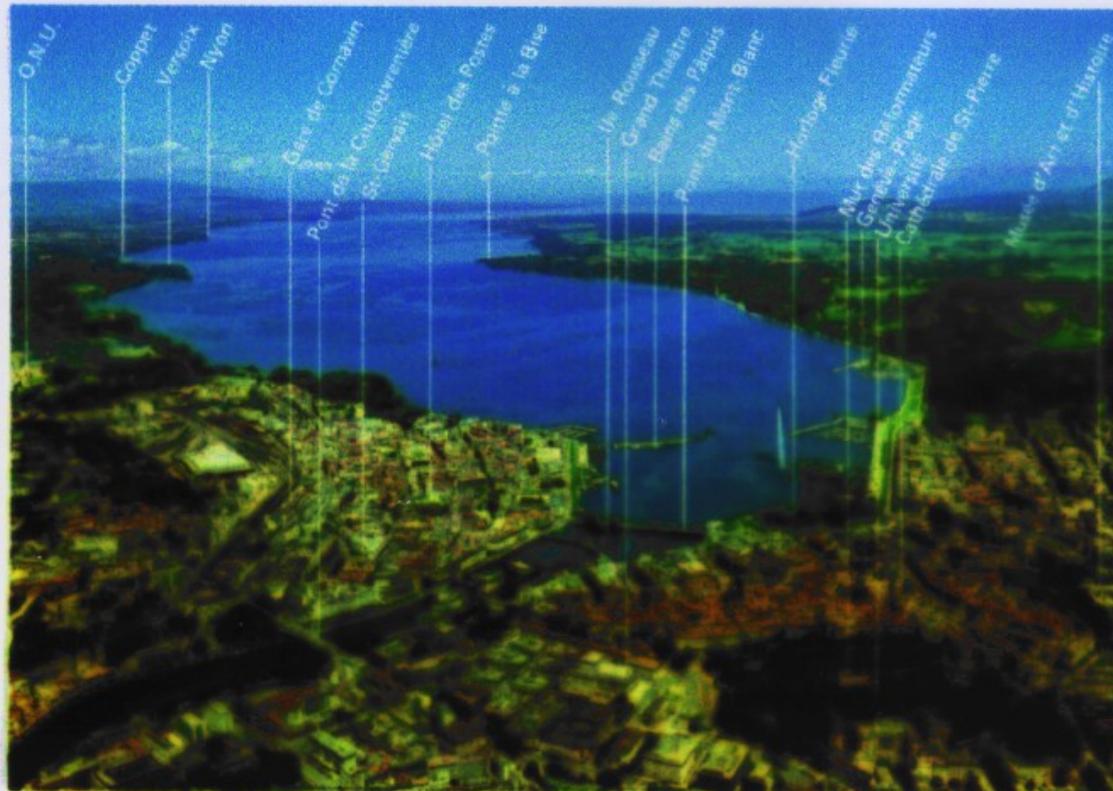


- Basis of “Plug & Play” or autocompensation schemes in commercial QKD systems (id quantique, NEC)
- Bridging ~100 km

*N. Gisin & team, GAP optique, Geneva  
D. Bethune / W. Risk, IBM Almaden  
A. Karlsson, KTH Stockholm  
NEC*

# Geneva lake demonstration

## The Laboratory



UNIVERSITÉ DE GENÈVE

**FACULTÉ DES SCIENCES**  
SECTION DE PHYSIQUE  
GROUPE DE PHYSIQUE APPLIQUÉE

# Simple Estimations

- BB84 raw key rate:

$$r = f_0 \times \mu \times \eta_d \div 2 \times T$$

#photons/pulse  
↓  
primary send rate      detector efficiency      channel transmission

- Probability for a background event:

$$P_D = d \times \tau$$

detector dark count rate      detection time window

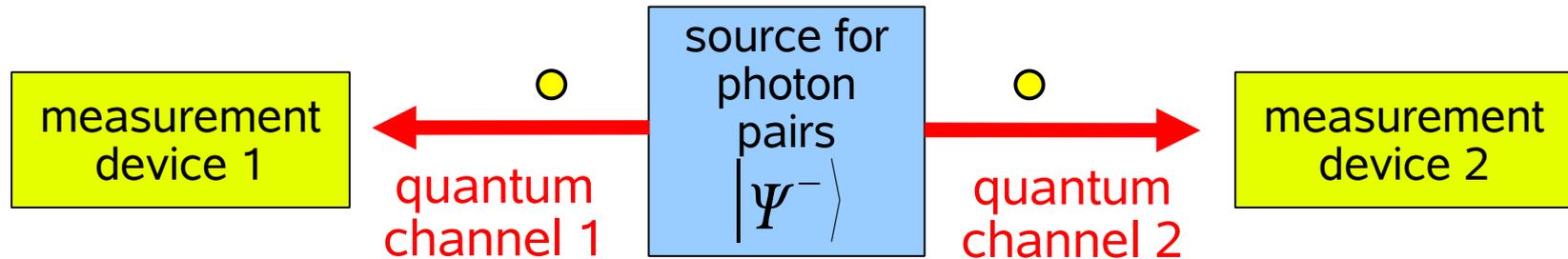
Si:  $10^{-7}$   
InGaAs:  $10^{-5}$

- detector-induced bit error ratio

$$QBER = \frac{P_D \times f_0}{r} = \frac{2 \times P_D}{\mu \times \eta_d \times T}$$

# Different protocols

Nonclassical correlation protocols (E91, tomographic protocols)



public discussion (sifting, key gen / state estimation)



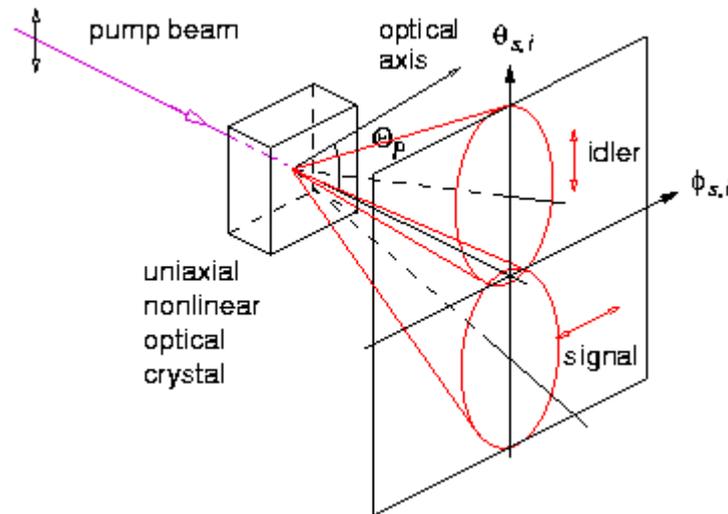
error correction, privacy amplification



- no need for trusted random numbers leading to raw key
- knowledge of eavesdropper is derived via a “witness” (knowledge of full state or something more efficient)

# Entangled photon resource

- Use non-collinear type-II parametric down conversion



two indistinguishable  
decay paths lead to

$$|\Psi^-\rangle = \frac{1}{\sqrt{2}} (|HV\rangle - |VH\rangle)$$

*P.G. Kwiat et al., PRL 75, 4337 (1995)*

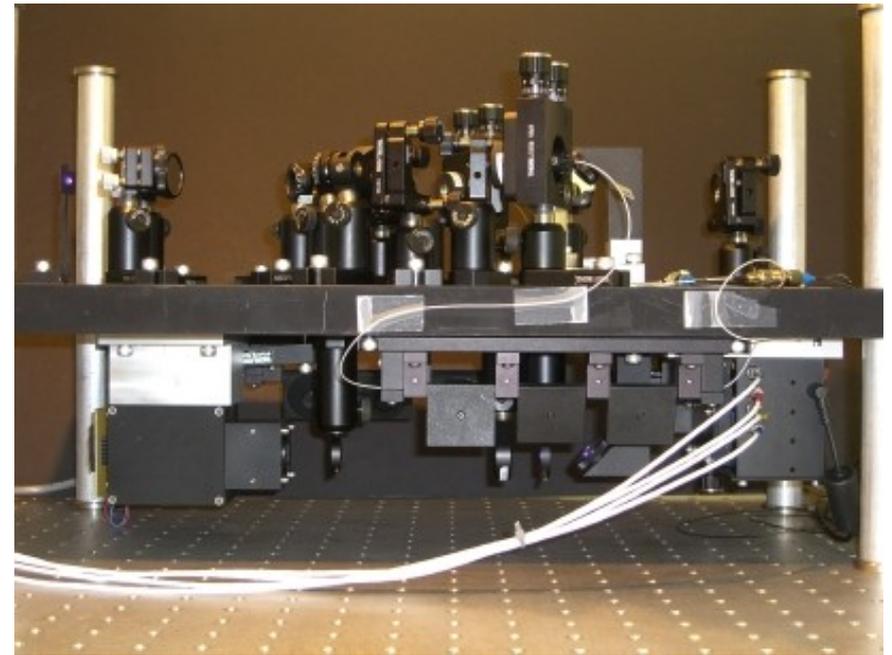
- Collect pairs into single spatial modes  
(e.g. optical fibers) for good transmission

Possible: ~900 polarization-entangled photon pairs per sec and mW pump power (2mm long BBO) for ~97% visibility in 45° basis, ~4 nm bandwidth around 702 nm

*C.K., M.O., H.W., PRA 64, 023802 (2001)*

# *Photon pair source*

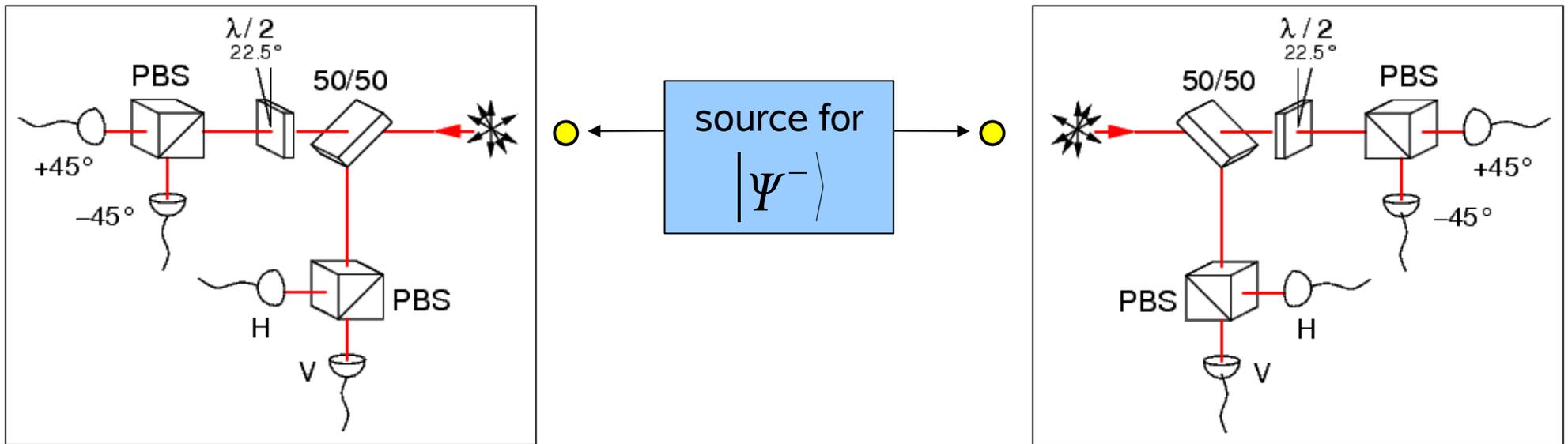
Diode-laser pumped non-collinear type-II PDC in BBO



- 24,000 s<sup>-1</sup> detected pairs from 40 mW pump @ 407nm in single mode fibers, 24 % pair/single ratio (2mm BBO)
- polarization correlation visibility in 45° basis: 92%
- optical bandwidth 6.5 nm FWHM around 810nm / 818 nm
- small footprint, works in outdoor conditions

# Our implementation

BB84-type QKD system using polarization-entangled photon pairs



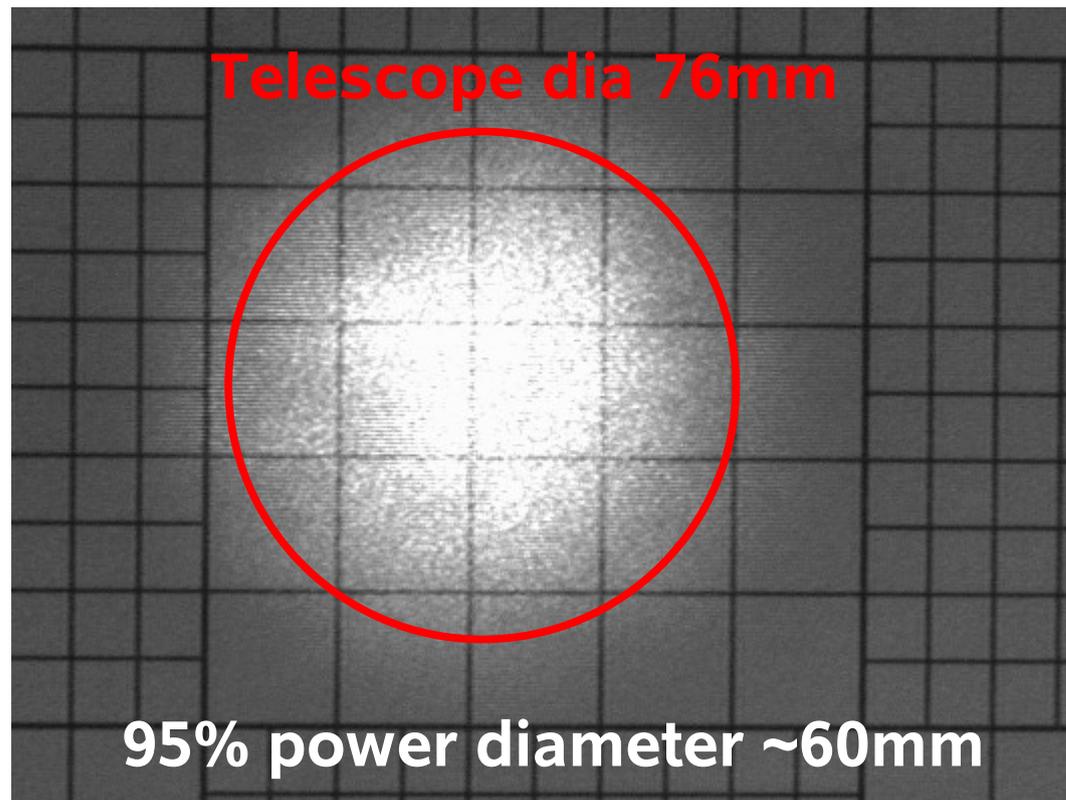
- Perform measurements randomly in H/V or  $\pm 45^\circ$  base on both sides
- Continue with measurement results like in BB84
- No explicit need for a random number generator

# *NUS campus test range*

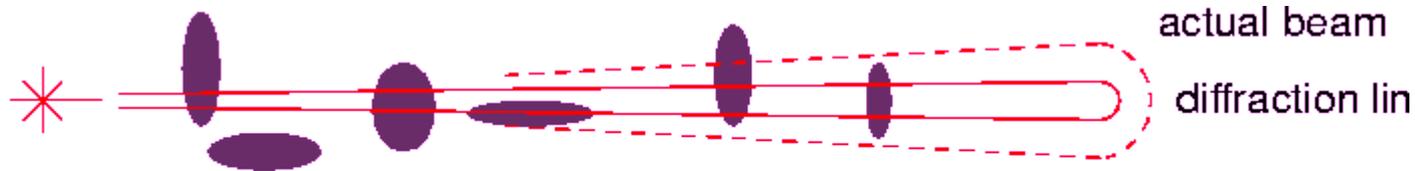


# *Scintillation in atmosphere*

Intensity distribution before the receiver telescope, tested with a bright (500  $\mu$ W) laser beam @808 nm through the optical system

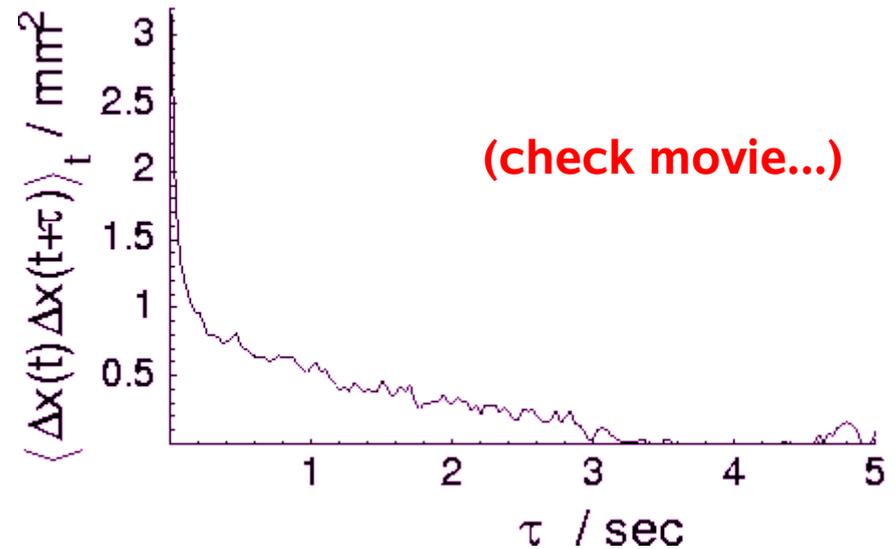
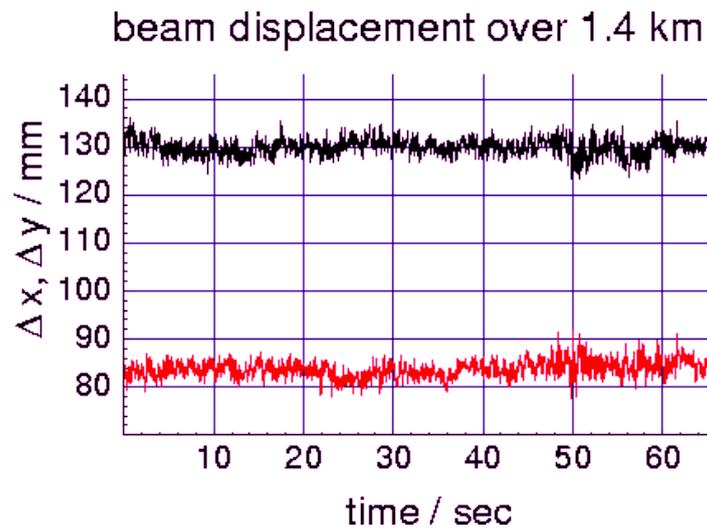


# More scintillation

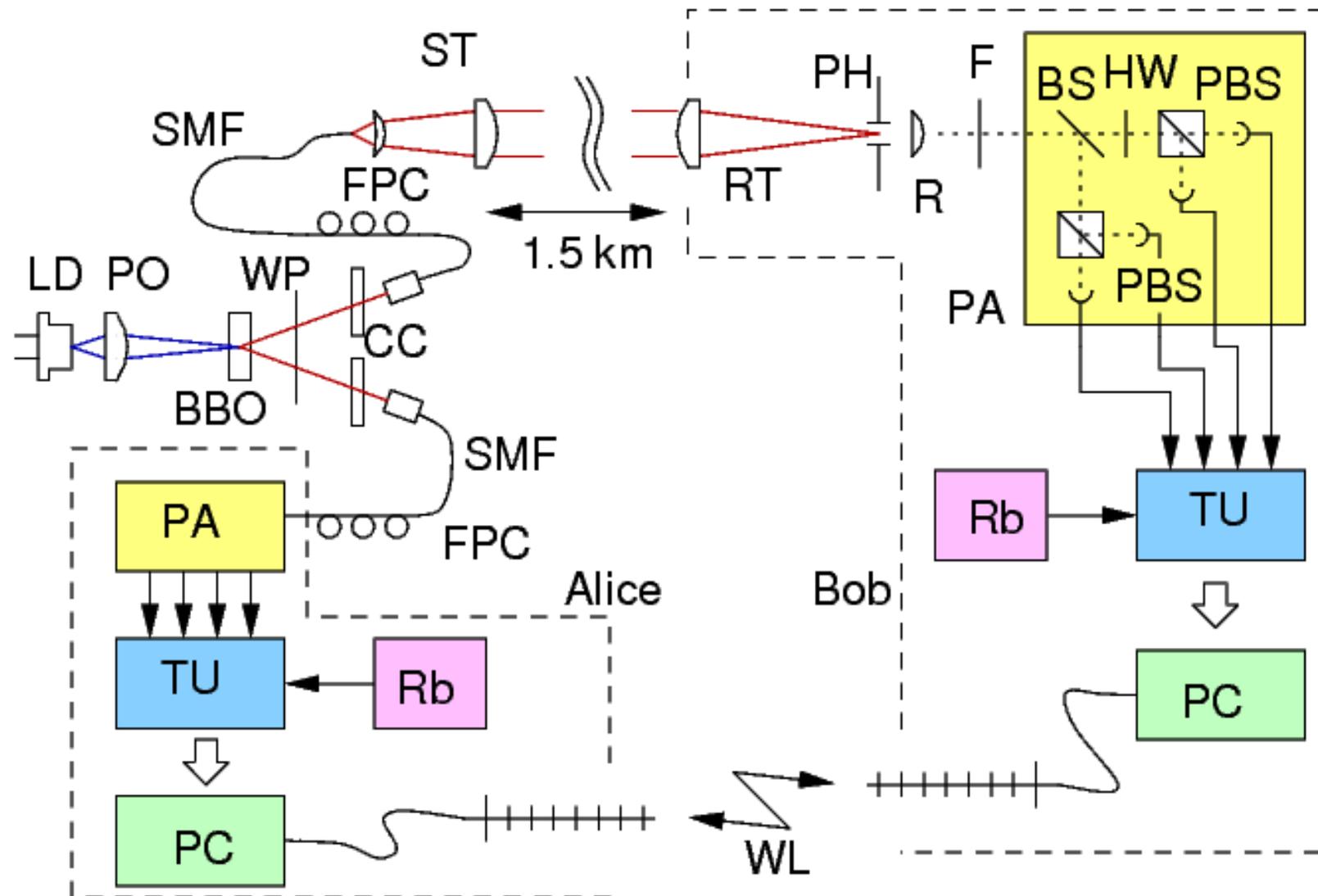


(40 mm FWHM)

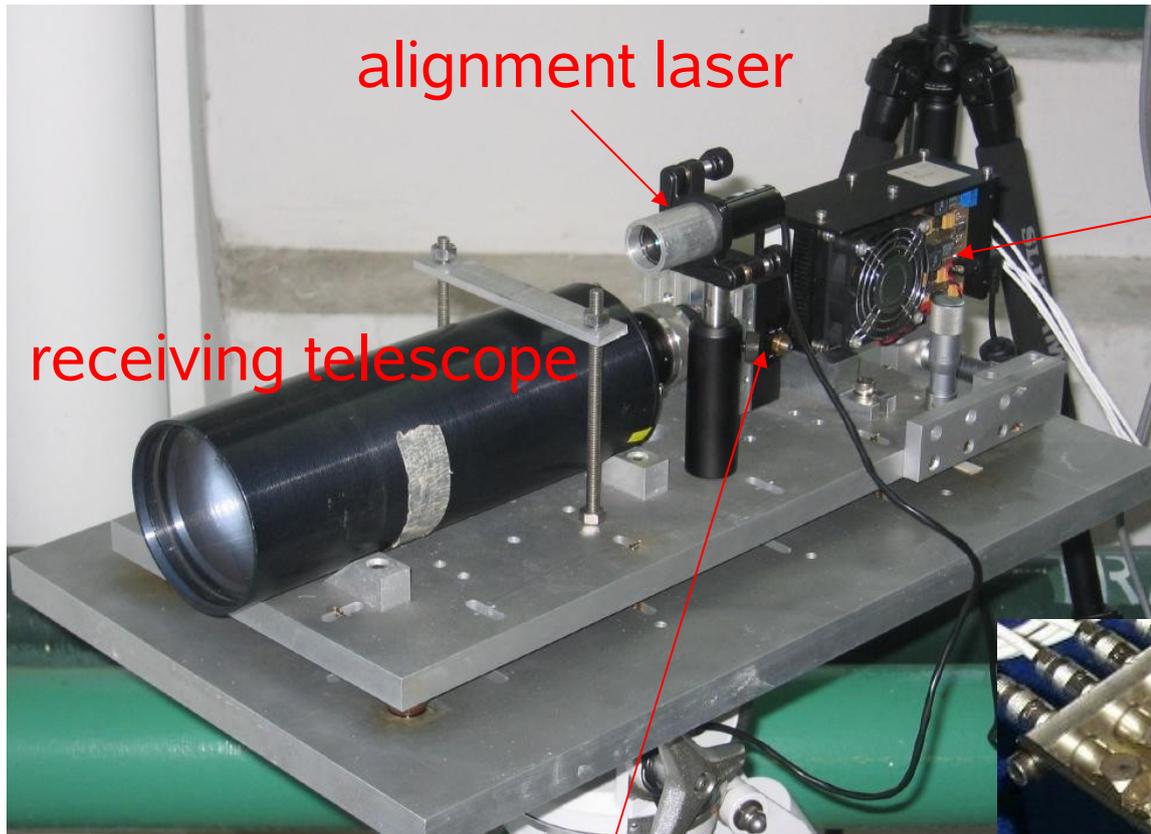
- Beam wandering due to air turbulence seems very low!



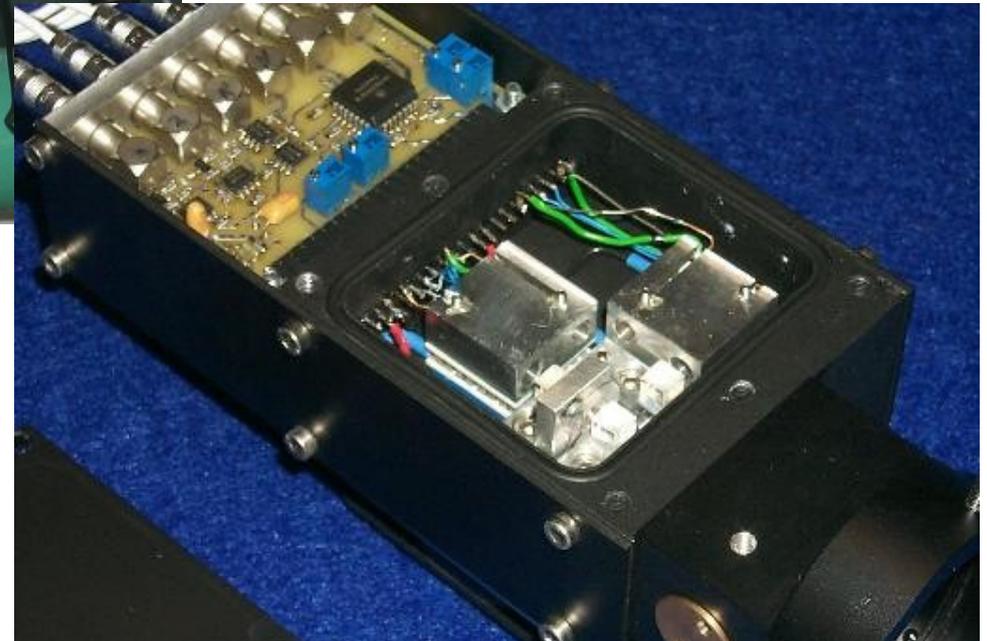
# System setup



# Receiver unit

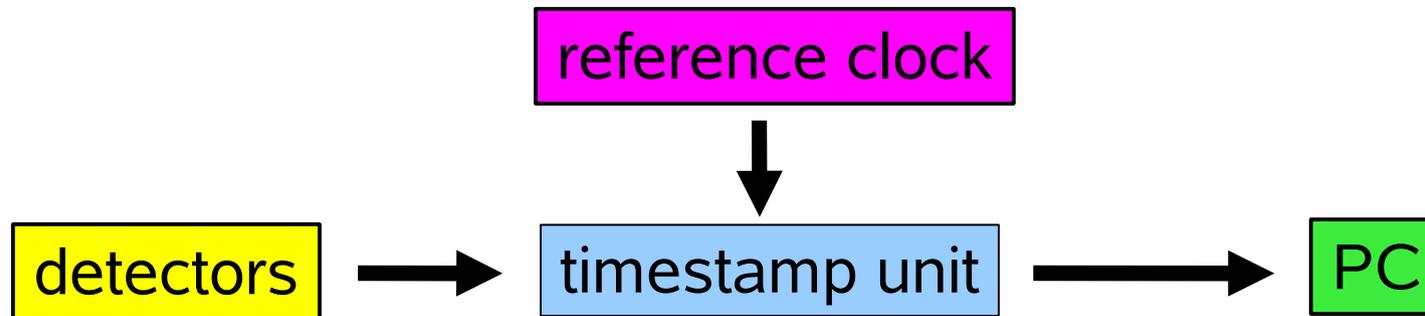


spatial filter (150  $\mu$ rad)



# Coincidence identification I

- Coincidence time is limited by APD jitter ( $\sim 700$  ps )

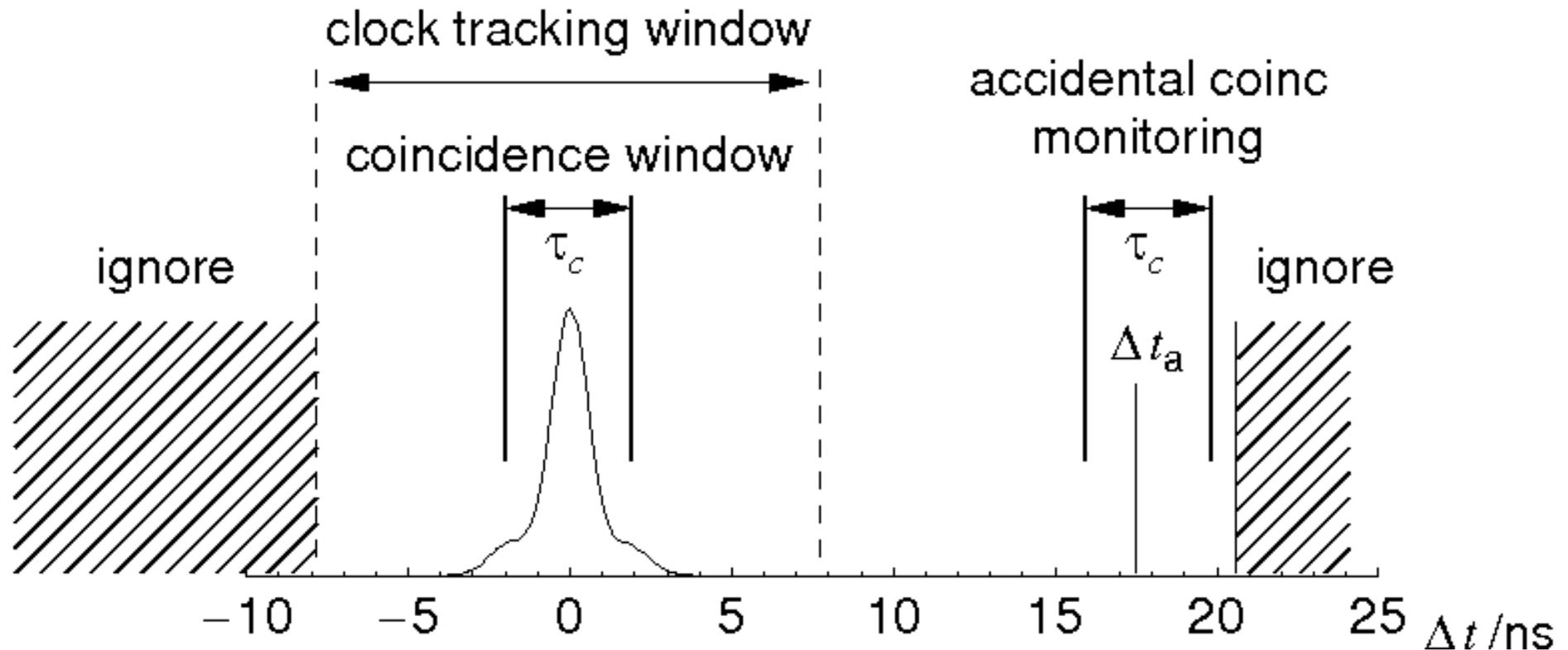


- 125 ps nominal resolution / 500 MHz master clock
- 4 Mevents/sec into host PC via USB interface

- All the rest via software and (efficient) classical communication (15...20 bits per detected event, 13% above Shannon limit by compression)

# Coincidence identification II

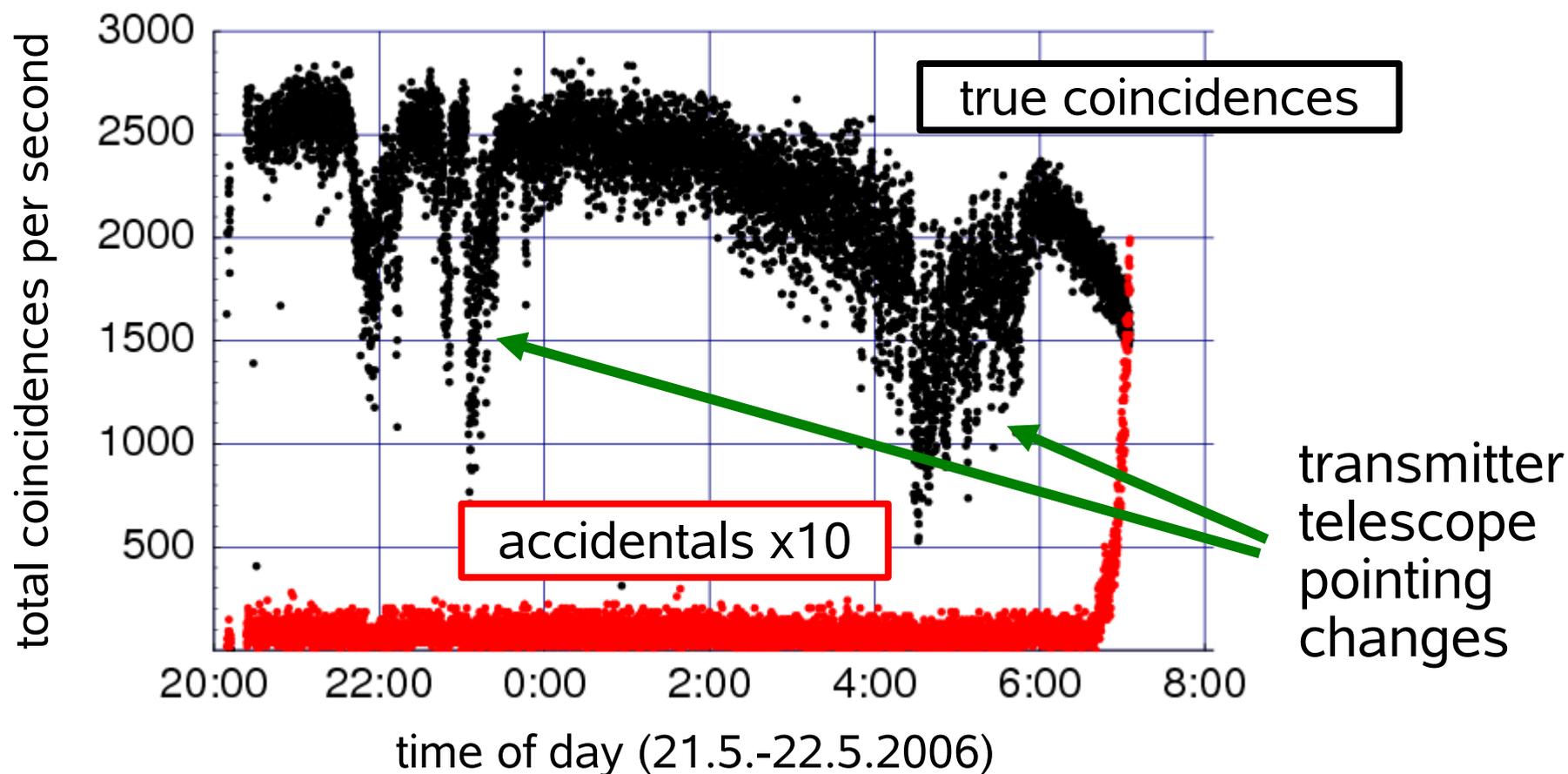
Use time correlation of photon pairs from PDC to identify pairs and to servo clocks



coincidence time:  $\tau_c = 3.75$  ns ; measured distribution: 1.4 ns (FWHM)

# Experimental results I...

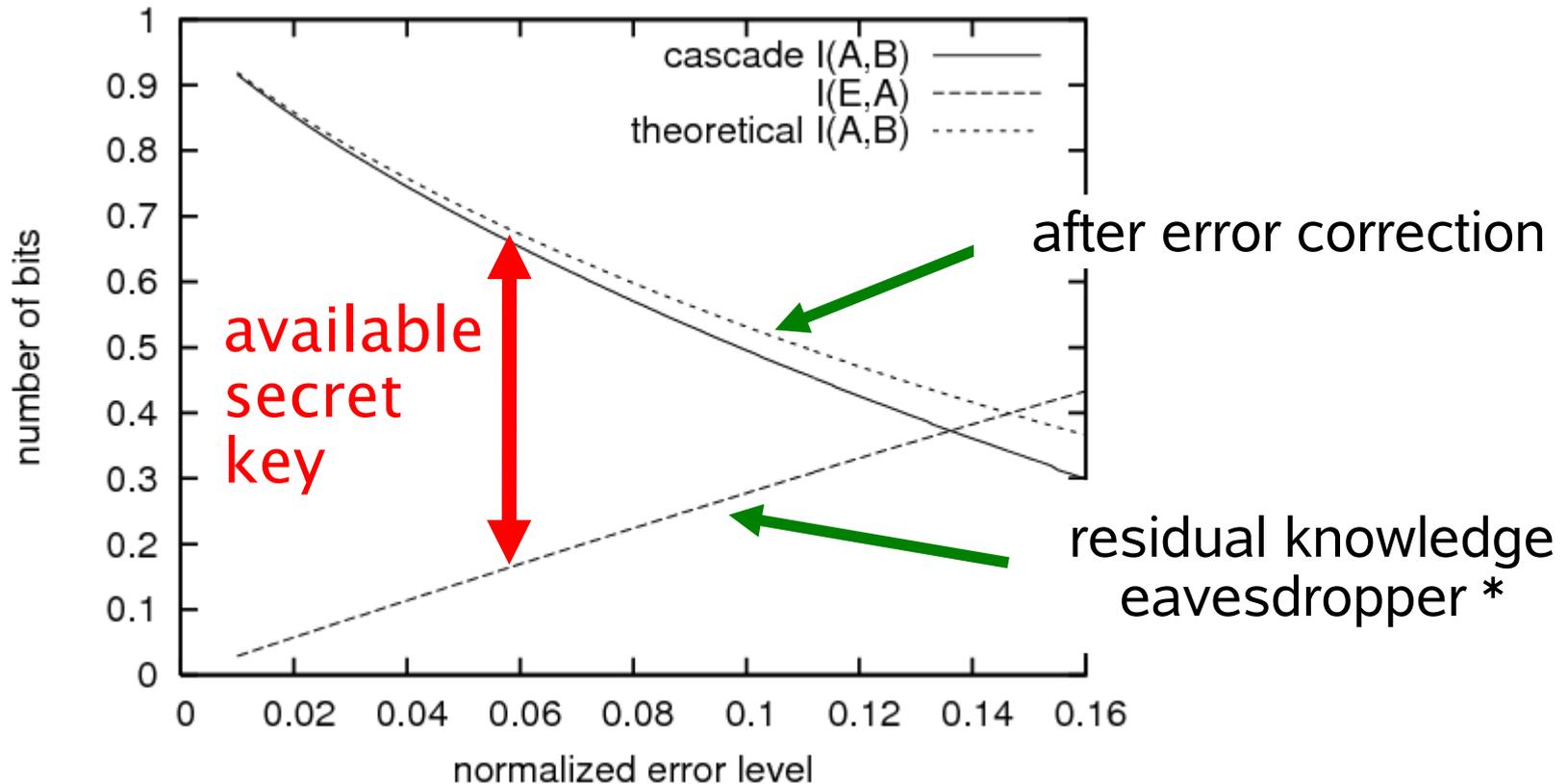
Identified raw coincidences between close and remote receiver



(with interference filter 5nm FWHM, 50% peak transmission)

# Error detection / correction

correct for errors, estimate knowledge of an eavesdropper



\* depends on the attack model (individual attack);  
for *infinite* key length

# Privacy amplification

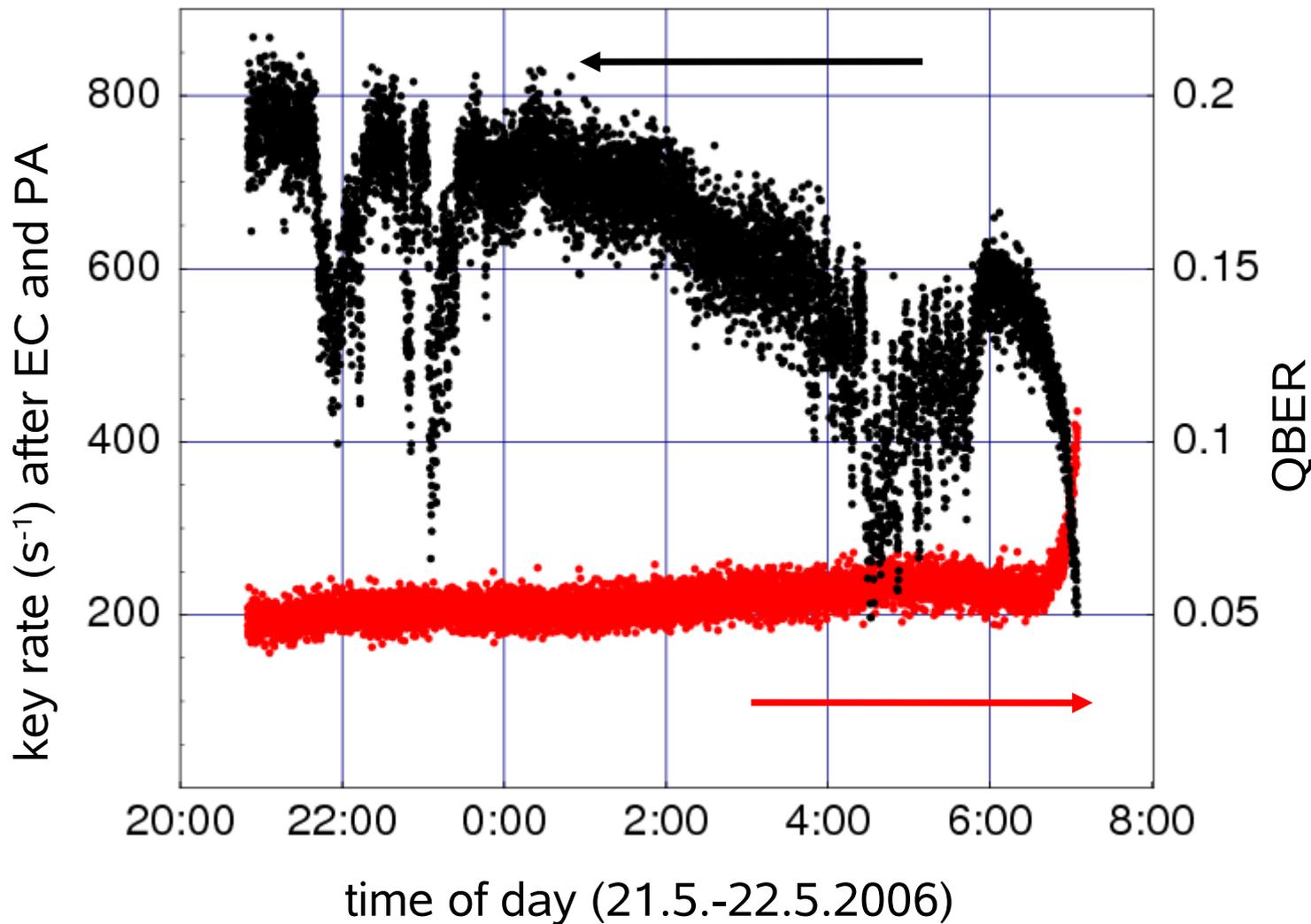
- compress raw key to a size corresponding to the information advantage vs. Eve..

$$\boxed{\text{final key}} = \boxed{\text{random matrix}} \times \boxed{\text{key w/o errors}}$$

- All information leakage to Eve (attacks + error correction) has to be considered

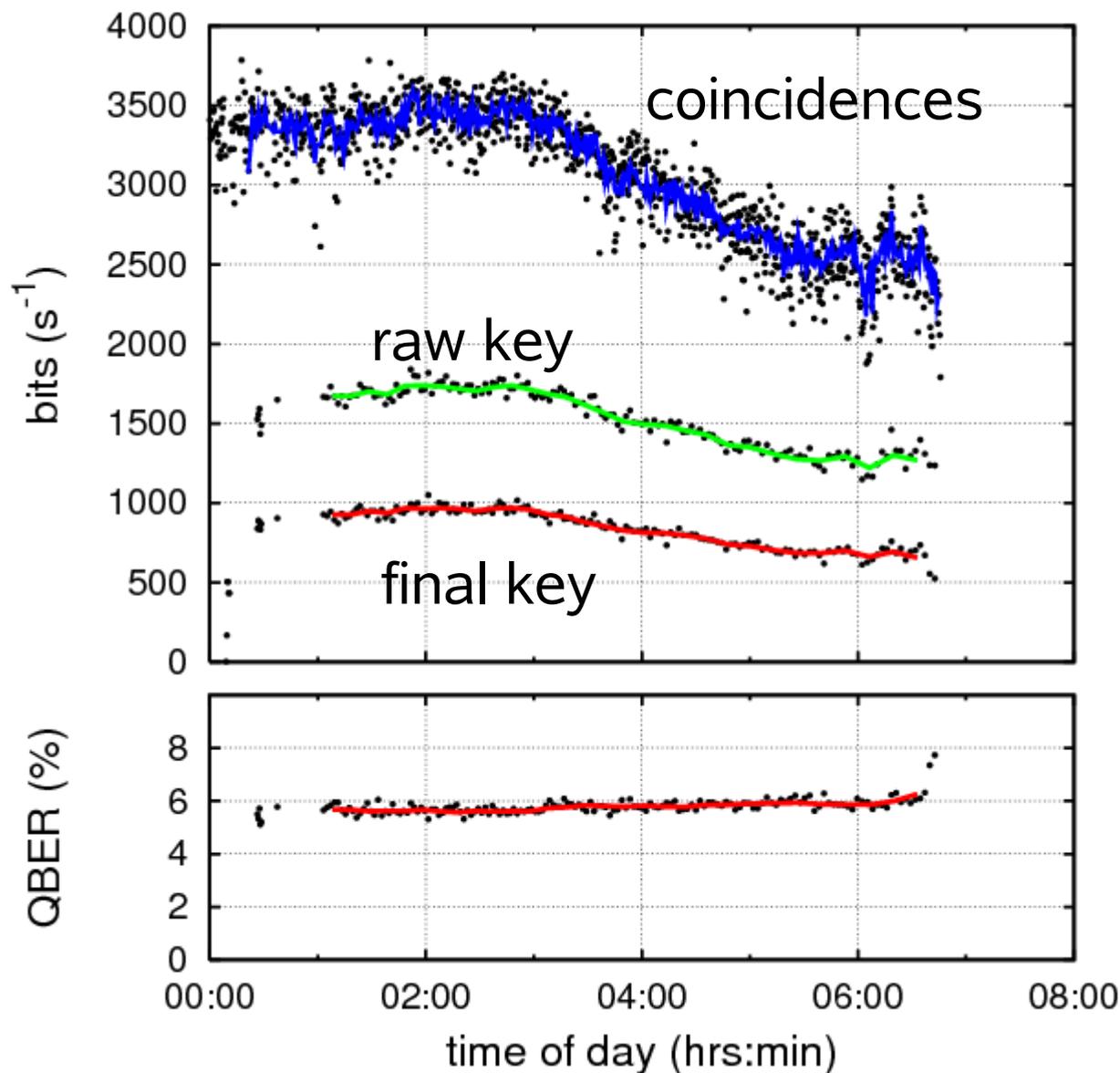
**Tricky:** finite key length may make privacy amplification more difficult –  $\sim 10^7$  to  $10^{10}$  bits

# ...and after The Works:



- CASCADE error correction with ~6000 bit packets
- assume incoherent attack strategy for privacy amplification
- average efficiency of EC/PA: >57%
- average final key rate: 650 bits/sec
- residual error rate  $\sim 10^{-6}$

# Without interference filters



- use a RG780 long pass filter to suppress visible light
- average final key rate 850 bits/sec

(link loss 8.3 dB)

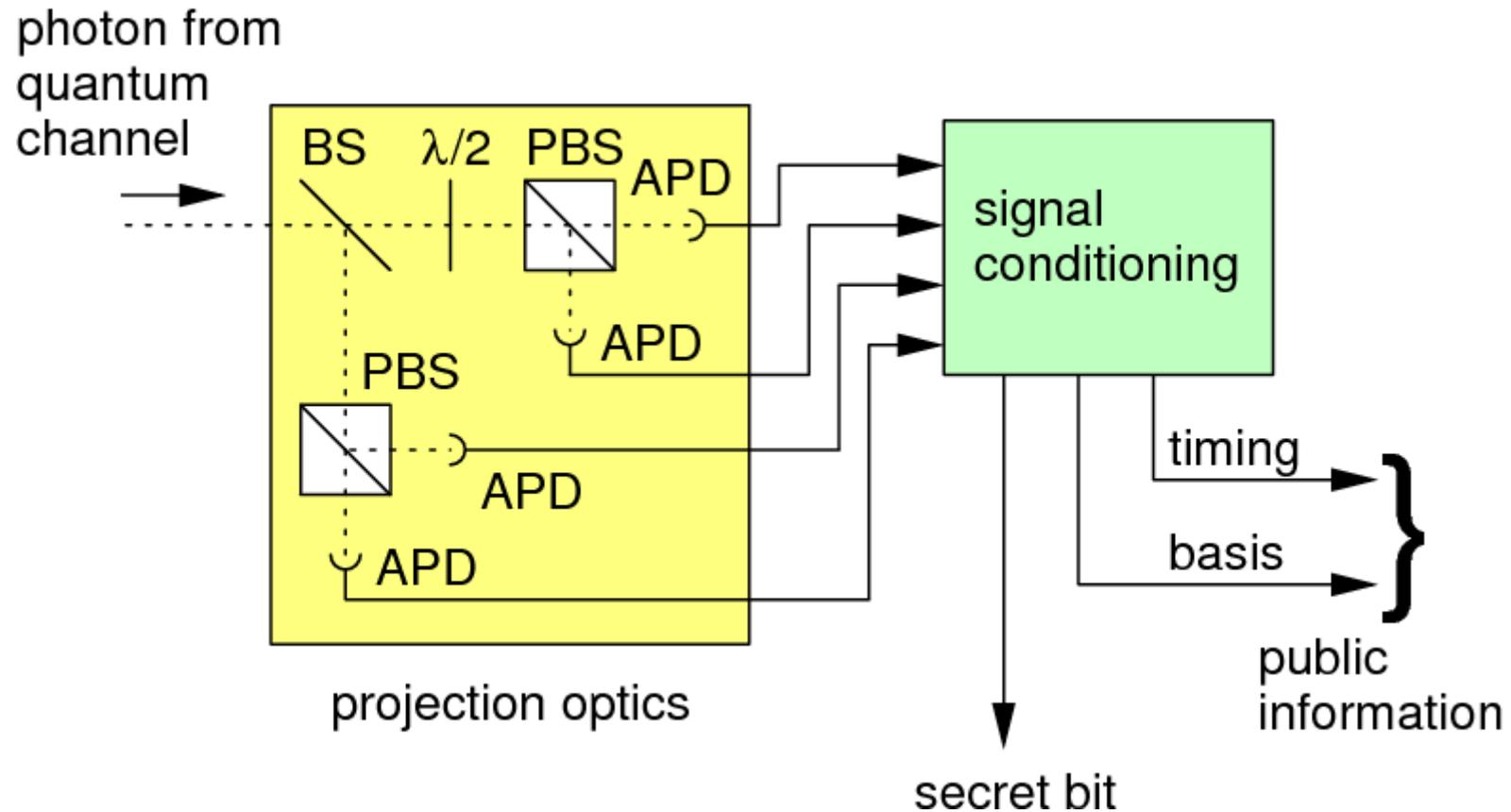
(data taken 1.6.2006)

# *Why we think this is nice*

- Only passive components (no switches); technical complexity similar to faint pulse QKD implementations
- No external random numbers are needed
- No hardware sync channel needed besides the classical ethernet link
- Lean sifting communication (~15...20 bits per event)
- Reasonably compact, possible to install in ad-hoc situations
- Runs reliably hands-off and produces continuously key

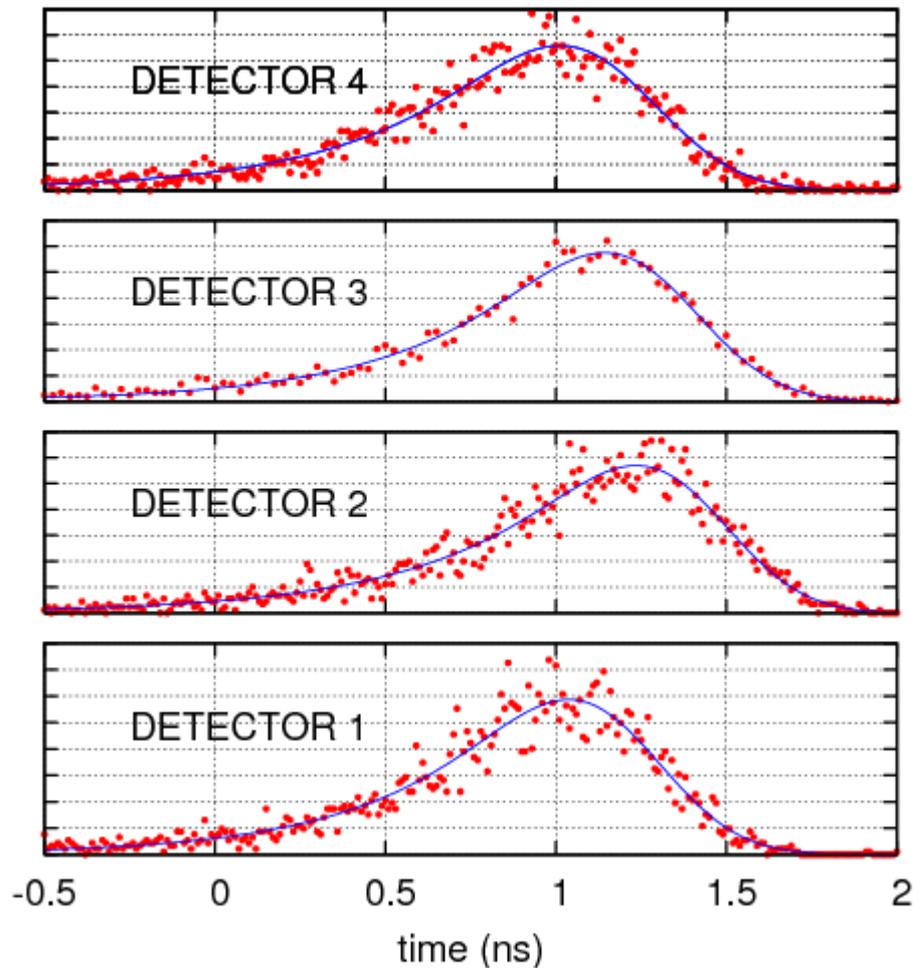


# Timing channel attack I

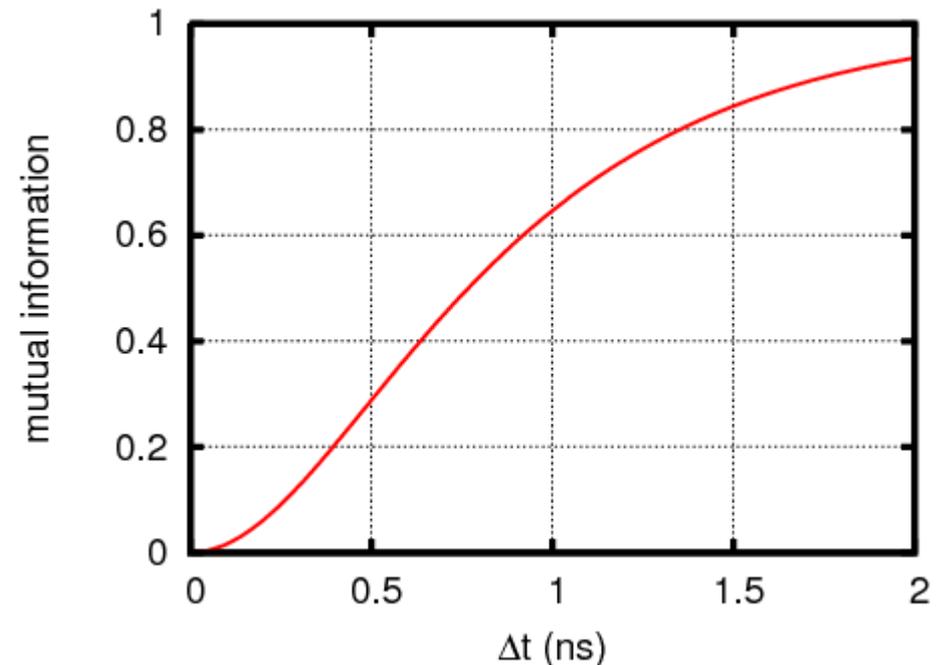


# Timing channel attack II

Classical timing information carries fingerprint of detectors:



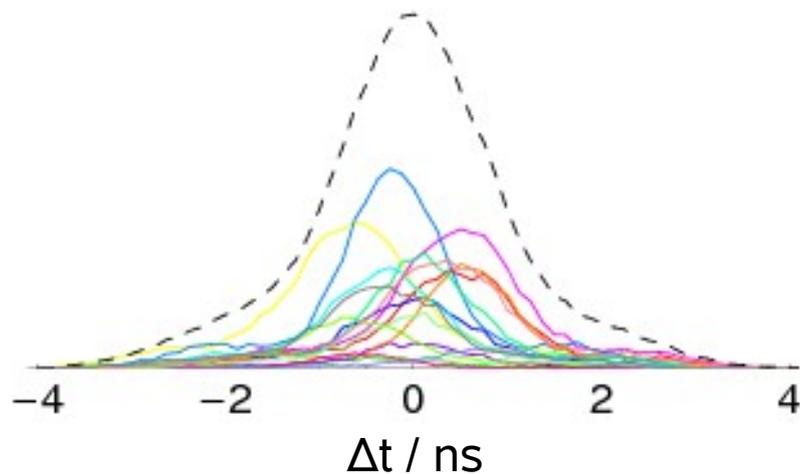
small detector imbalances may tell Eve a lot!



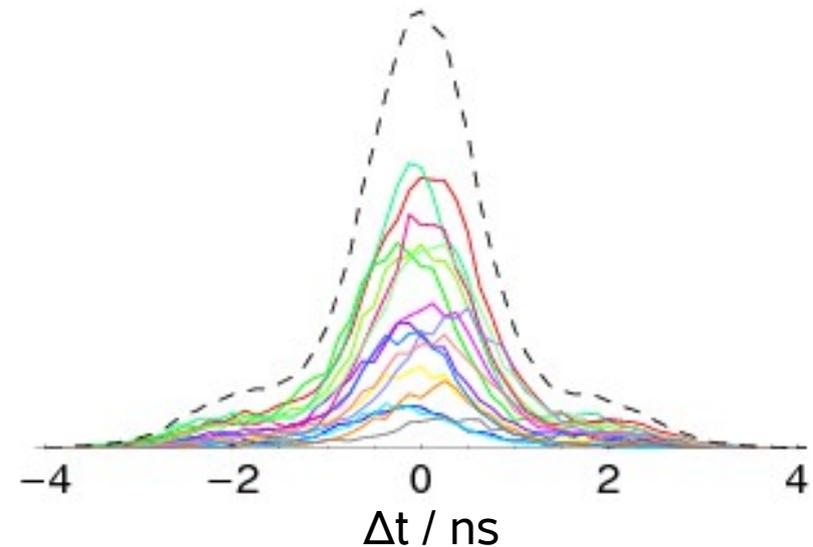
# Timing ch attack – The Cure

- Make sure no detail timing information is revealed.....

delays not compensated



delays compensated



- Alternative cures (costly for background):
  - coarser quantized timing information
  - add timing noise

# Challenges for daylight QKD



- Daylight irradiation  $\sim 10^2 \text{ W sr}^{-1} \text{ m}^{-2} \mu\text{m}^{-1}$  at 800 nm

For  $\Omega = 10^{-8} \text{ sr}$ ,  $A = 0.005 \text{ m}^2$ ,  $\Delta\lambda = 5 \text{ nm}$ :

$10^8 \text{ photons/sec}$  or 0.1 event per ns time window

Detectable rate with standard **Si APD:  $10^6 \text{ s}^{-1}$**

- narrow band filter: 0.5..1nm                      factor 5..10  
(on the way: 1nm source)  
reduce background brightness:                      factor 10 or more

- other approaches (need very narrowband spectra)

atomic filters ( $\sim 10 \text{ MHz}$ )

*X. Shan et al, APL 89, 191121 (2006)*

Fraunhofer lines ( $\sim 1.2 \text{ \AA}$ )

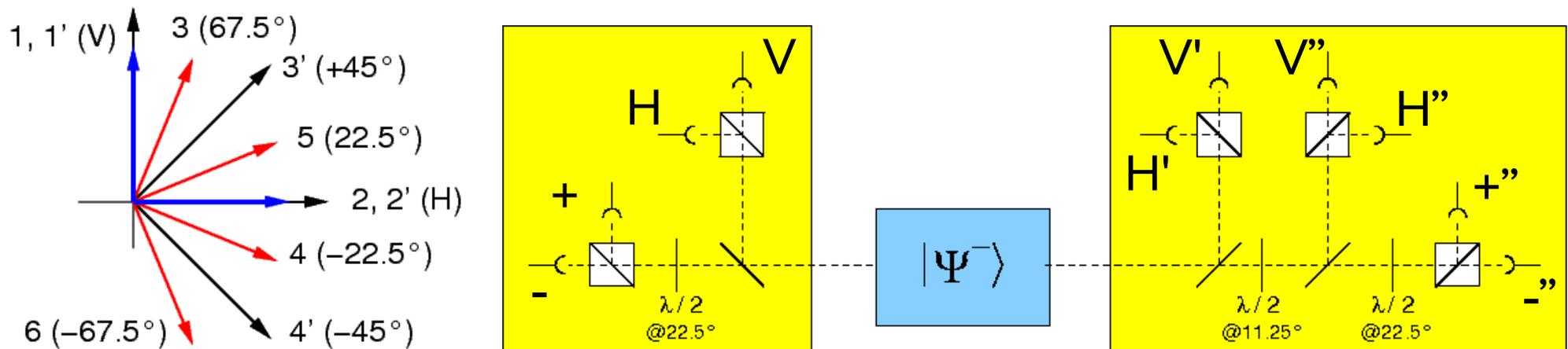
*J. Bienfang & friends @ NIST Gaithersburg*

# *More daylight QKD*

- Current status:
  - Receiver can handle  $4 \times 10^6 \text{ s}^{-1}$  event rate using USB transfer
  - system starts reliably and generates key with  $\sim 5\%$  QBER under “moderate daylight conditions”, i.e., overcast skies, and/or early evening over short distances (30m)
  - longer distance trials ongoing....
- Uglier in daylight:
  - Initial alignment of telescopes
  - more scintillation

# Smart plugging of side channels

Modified E91 protocol  
(side-channel independent, towards “device-independent”)



- $\{H, V; H', V'\}$  coincidences  $\longrightarrow$  key generation
- $\{H, V, +, -; H'', V'', +'', -''\}$  coincidences  $\longrightarrow$  CHSH Bell test
- low QBER with existing simple source

# Indoor results

test run over 6853 seconds with short free-space link (1.3m ):

total identified coincidences:

$$N_c = 41 \times 10^6 \text{ pairs}$$

total collected raw key:

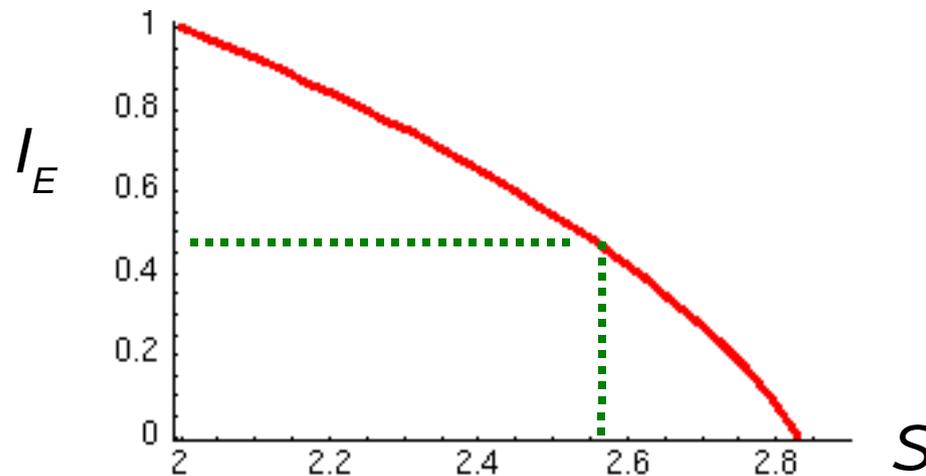
$$N_k = 11 \times 10^6 \text{ bits}$$

error ratio:

$$\text{QBER} = 1.97\%$$

Bell violation over all events:

$$S = 2.569 \pm 0.001$$



Holevo information of Eve:

$$I_E = 46.7\%$$

key-contributing pairs:

$$27.4\%$$

asymmetry:

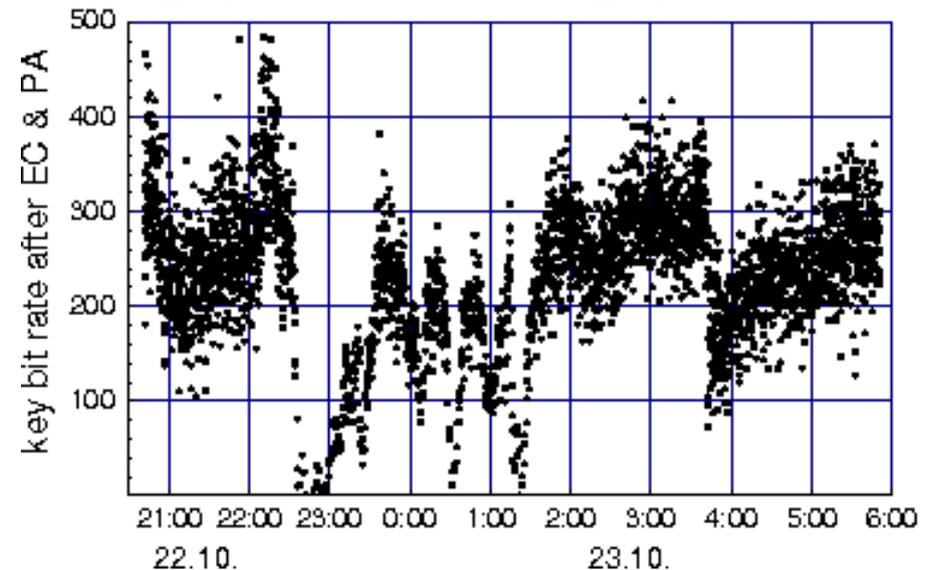
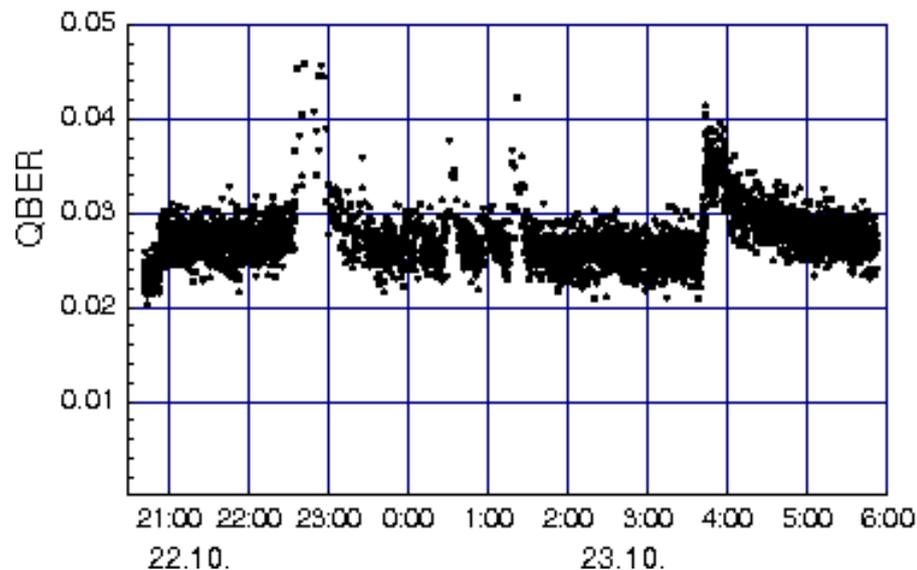
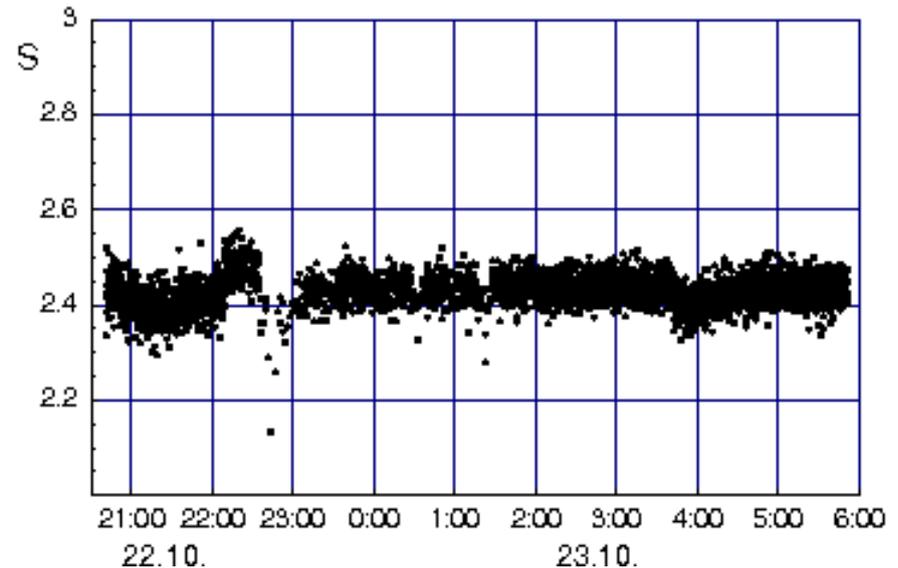
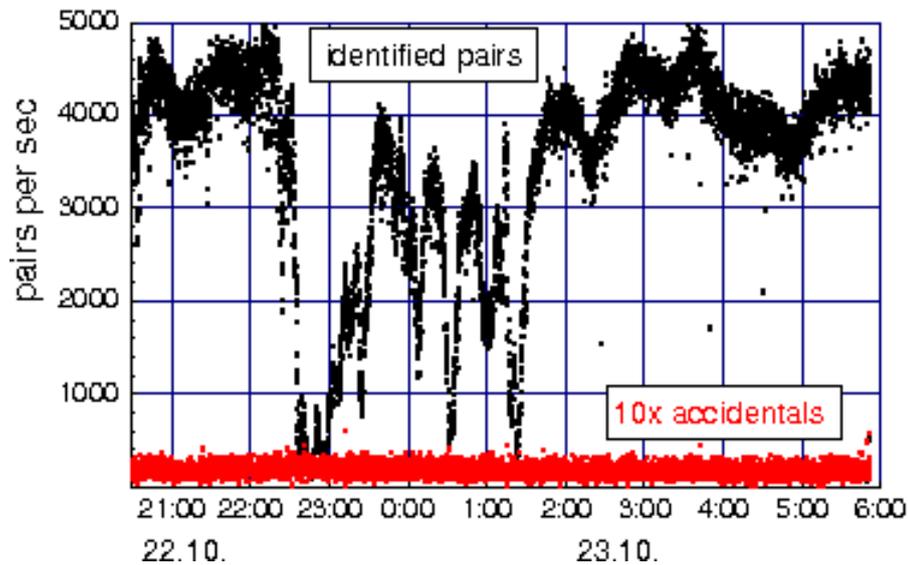
$$N_0 / (N_0 + N_1) = 0.49$$

QBER distribution on 0/1 bits:

$$1:1.67$$

# Field results (1.4km range)

- typical data run (with tropical rainfall inbetween)



# *Ongoing developments*

---

- Availability of much stronger entangled photon pair sources based on PPKTP converters

*T. Jennewein et al., Opt. Express* **15**, 15277 (2007)

- Influence of finite-length key on privacy amplification

*V. Scarani, R. Renner, work in progress*

*Time for Coffee.....*



*Thank you !*

<http://qoptics.quantumlah.org/lah/>

# Time difference finding I

- Obtain discrete cross correlation function via

$$ccf(\tau) = F^{-1} \left[ F[f_a] \cdot F[f_b] \right]$$

with two discrete pairs of folded detector functions

$$f_{a,b}(k) = \sum_i \delta_{k, (t_i^{(a,b)} / \Delta t) \bmod N}$$

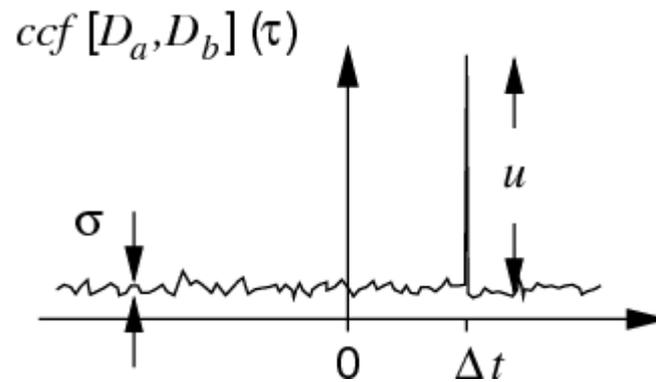
for  $N=2^{17}$  and

- combine peak positions in ccf for different  $\Delta t$  to get the coarse and fine value of the final time difference

$$\Delta t = 2\text{ns}, 2048\text{ns}$$

# Time difference finding II

- Sea of uncorrelated photodetection events leads to noisy background of ccf:



- Need large enough SNR ( $u/\sigma$ ) to identify time difference with sufficient statistical confidence:

epsilon	0.1	0.05	0.01	0.005	0.001	0.0001
n=16 bit	4.67	4.81	5.12	5.25	5.54	5.93
n=17 bit	4.81	4.94	5.25	5.37	5.65	6.04
n=18 bit	4.94	5.08	5.38	5.50	5.78	6.15
n=19 bit	5.08	5.21	5.50	5.62	5.89	6.26

# *Time difference finding III*

- typical operating conditions:

$$r_1 = 80000 \text{ s}^{-1}$$

$$r_2 = 4000 \text{ s}^{-1}$$

$$\Delta t = 2 \text{ ns} / 2048 \text{ ns}$$

we obtain within 2.5 seconds a  $\text{SNR} > 8$  at  $N = 2^{17}$ .

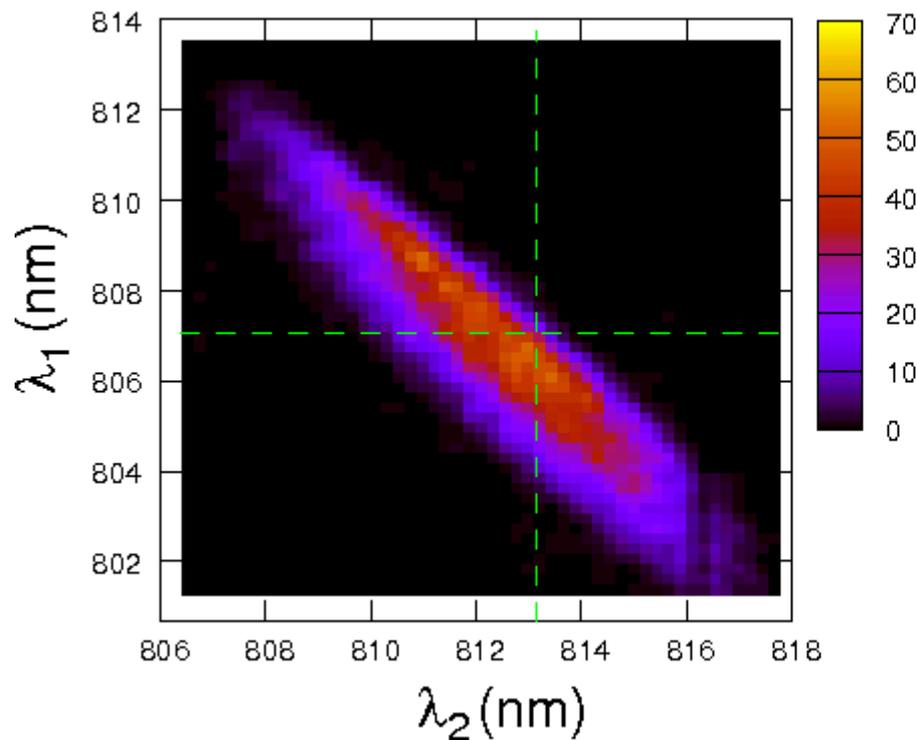
results vary, depending on overlap between sampled events

**Conclusion: Periodic finding works with very little numerical effort!**

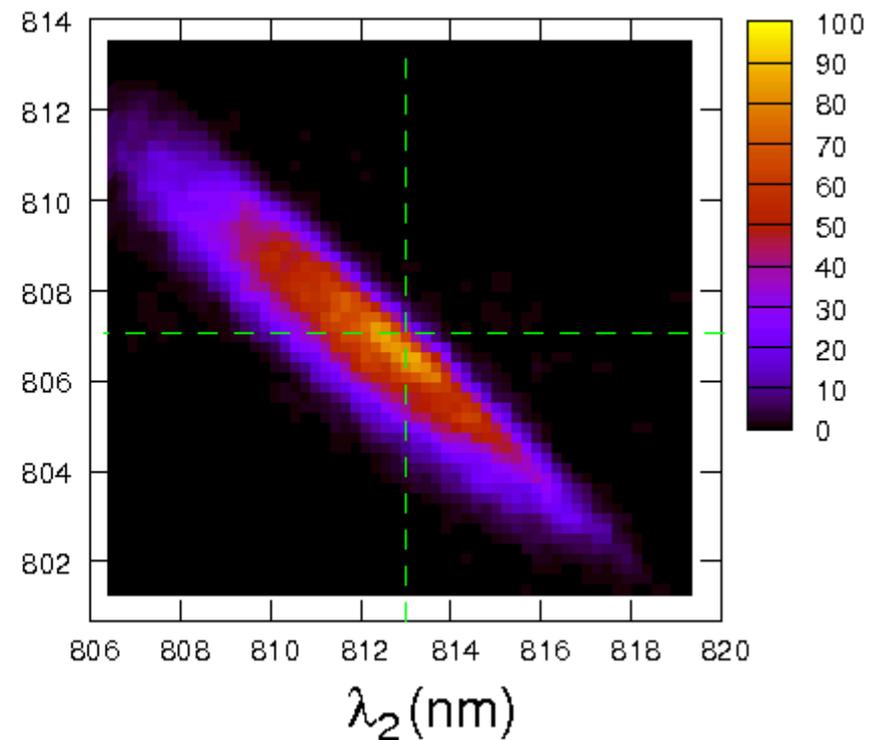
# Limits of (this) pair source

- Spectral distinguishability of decay paths:

HV coincidences



VH coincidences



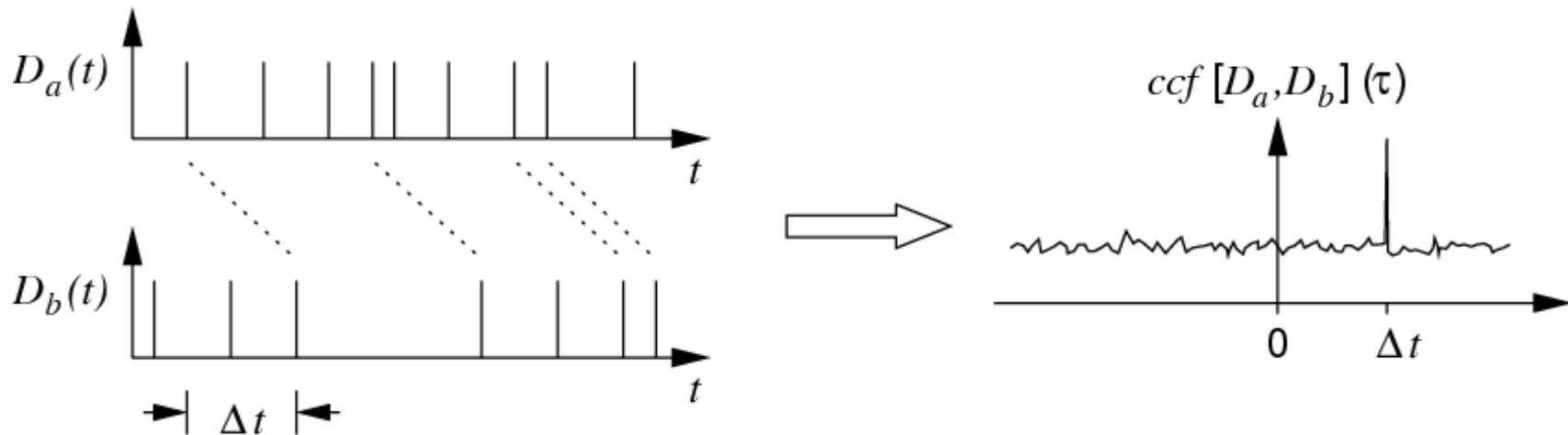
- Spectral width of pump around 0.7 nm (blame blue laser diode)

# *The Quantum Channel*

- Use **free space optical** link:
  - + simple polarization qubits
  - + no cable infrastructure needed (mobile)
  - + use Silicon photodetectors with higher QE (50%), lower background ( $10^{-7} \text{ ns}^{-1}$ ) at the same time with “unselected” devices detectors can be always on
  - absorption in atmosphere (rain, birds)
  - propagation variation in air (scintillation)
  - HUGE background in daylight
- Alternatives: **optical fibers**
  - + almost no background
  - + existing telecom infrastructure
  - + high availability of fiber
  - worse single photon detectors @ 1300nm

# Synchronization

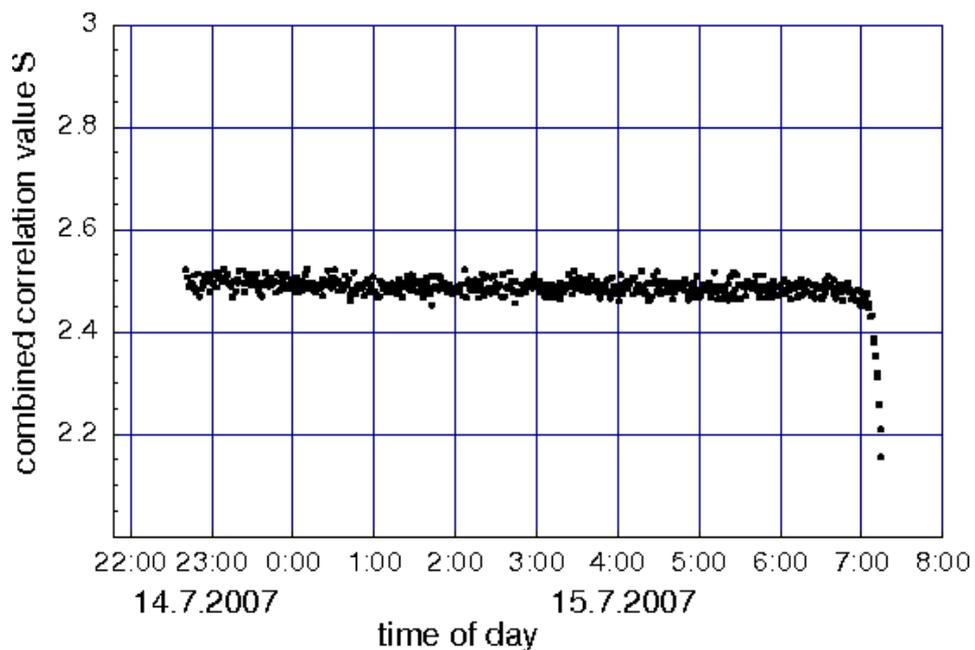
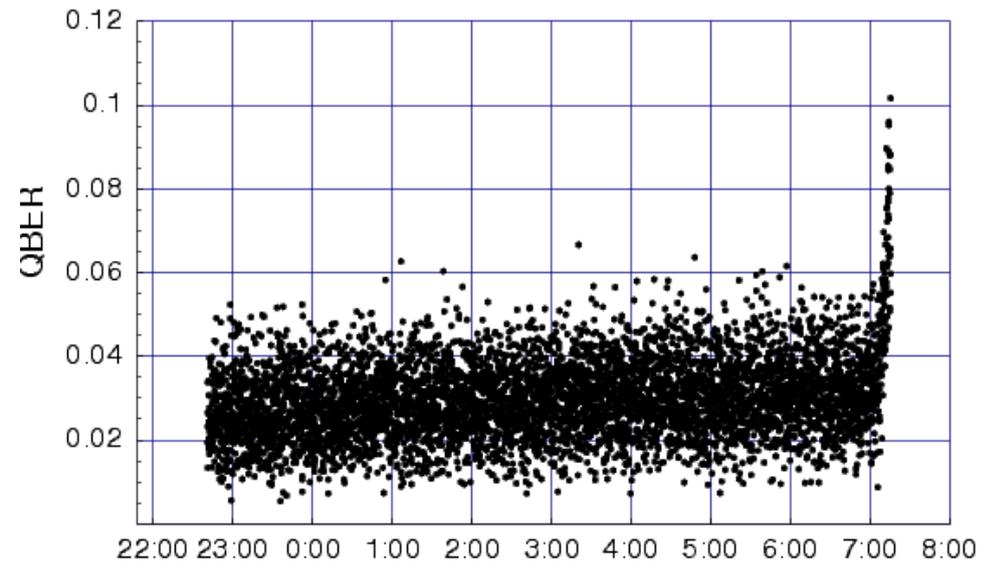
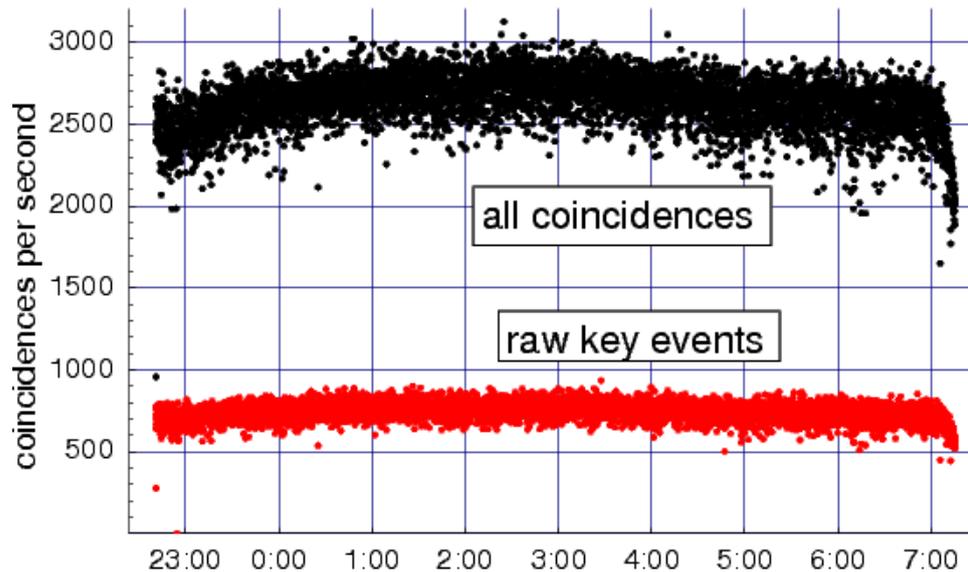
- Find initial time difference between two sides via cross correlation of detector event timings:



$$ccf[D_a(t), D_b(t)](\tau) = \int_{-\infty}^{\infty} D_a(t) D_b(t+\tau) dt$$

- Use clocks with low ( $10^{-9}$ ) frequency difference over  $\sim 1$ s
- Tiered cross correlation technique for reasonable numerical effort to capture  $\Delta t \sim 500$  msec with 2 ns resolution

# No rain....



- raw key rate: 610 bit/sec  
operation: 10h24'  
 $S=2.485\pm 0.0005$   
final key after EC/PA: 5.1E6 bits
- next: daylight operation, other protocols, finite key length.....